Edgar Filing: ETHAN ALLEN INTERIORS INC - Form 4

ETHAN ALL	EN INTERIORS	INC	C							
Form 4										
August 01, 20)16									
FORM	4		an arin						PPROVAL	
Washington, D.C. 20549							OMB Number:	3235-0287		
Check this if no longe	r							Expires:	January 31, 2005	
subject to Section 16	subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						burden hou	timated average rden hours per		
Form 4 or Form 5	Eiled mun	want to S	antion 16	(a) af the	Comiti	a Erahan	hat of 1024	response	0.5	
obligation may contin <i>See</i> Instruc	s Section 17(a) of the P	ublic Uti	ility Hold	ing Com		ge Act of 1934, f 1935 or Sectio 40	n		
1(b).										
(Print or Type Ro	esponses)									
Esposito Domenick J. Syn ET			2. Issuer Name and Ticker or Trading Symbol ETHAN ALLEN INTERIORS INC				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			[ETH]					11	,	
(Last)	(First) (M		3. Date of (Month/Da	Earliest Tra ay/Year)	insaction		X Director Officer (give below)		b Owner er (specify	
ETHAN ALI	LEN DRIVE		07/29/20	16			below)	below)		
			4. If Amen	dment, Dat	e Original		6. Individual or Joint/Group Filing(Check			
			Filed(Mont	h/Day/Year)			Applicable Line)			
DANBURY,	CT 06811						_X_ Form filed by M Form filed by M Person			
(City)	(State) (Zip)	Table	I Non D		ocurities A c	quired, Disposed o	f or Bonoficial	lly Ownod	
									-	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if	3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)		SecuritiesIBeneficially(OwnedI	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A)or(D) Price	Transaction(s) (Instr. 3 and 4)			
Common Stock				Coue V	Amount	(D) The	2,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options to Purchase Common Stock	\$ 34.73	07/29/2016		А	2,879	07/29/2017 <u>(1)</u>	07/29/2026	Options to Purchase Common Stock	2,879

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Reporting Owners

Reporting Owner Name / Addre	288	Relationships						
	Director	10% Owner	Officer	Other				
Esposito Domenick J. ETHAN ALLEN DRIVE DANBURY, CT 06811	Х							
Signatures								
Domenick J. Esposito	08/01/2016							

<u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options vest ratably over three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.