#### SUPREME INDUSTRIES INC

Form 4 May 12, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

P O BOX 6199

(Print or Type Responses)

1. Name and Address of Reporting Person \* BARRETT WILLIAM J

(First)

(Middle)

Symbol

SUPREME INDUSTRIES INC [STS]

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction

05/11/2016

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

X\_ Officer (give title (Month/Day/Year) below) Exec V P and Secretary 6. Individual or Joint/Group Filing(Check

> Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

(Check all applicable)

\_X\_\_ 10% Owner

\_\_ Other (specify

**OMB APPROVAL** 

Estimated average

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January 31,

2005

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Number:

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response...

Person

Issuer

\_X\_ Director

FAIR HAVEN, NJ 07704

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)			4. Securities Acquired ion(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
CI. A			Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Class A Common Stock	05/11/2016		M	15,750	A	\$ 1.63	677,573	D		
Class A Common Stock	05/11/2016		F	1,990	D	\$ 12.89	675,583	D		
Class A Common Stock	05/11/2016		M	7,500	A	\$ 2.33	683,083	D		
Class A Common	05/11/2016		F	1,358	D	\$ 12.89	681,725	D		

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Class A Common Stock	05/11/2016	S	2,000	D	\$ 12.6	679,725	D	
Class A Common Stock						178,500	I	By Grantor Trust (1)
Class A Common Stock						115,439	I	By Spouse
Class B Common Stock						885,999 (3)	D	
Class B Common Stock						16,857 <u>(3)</u>	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Stock

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SEC 1474 (9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option	\$ 1.63	05/11/2016		M		15,750	<u>(4)</u>	06/25/2016	Class A Common Stock	15,750
Incentive Stock Option	\$ 2.33	05/11/2016		M		7,500	<u>(4)</u>	09/30/2017	Class A Common Stock	7,500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships								
Topolonia o William Palante	Director	10% Owner	Officer	Other					
BARRETT WILLIAM J									
P O BOX 6199	X	X	Exec V P and Secretary						
FAIR HAVEN, NJ 07704									

## **Signatures**

/s/ Julia A. Gardner, Attorney-in-Fact for William J.
Barrett
05/12/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting person disclaims beneficial ownership of shares owned by grantor trust except to the extent of the pecuniary interest owned by the reporting person and his spouse. Reporting person is the trustee of the grantor trust.
- (2) Reporting Person disclaims beneficial ownership of shares owned by spouse.
- (3) Class B Common Stock is freely convertible on a one-for-one basis into an equal number of shares of Class A Common Stock.
- (4) All options currently exercisable.
- (5) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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