

CARLISLE COMPANIES INC

Form 4

May 04, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ROBERTS DAVID A

(Last) (First) (Middle)

C/O CARLISLE COMPANIES
INCORPORATED, 11605 NORTH
COMMUNITY HOUSE ROAD

(Street)

CHARLOTTE, NC 28277

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CARLISLE COMPANIES INC
[CSL]

3. Date of Earliest Transaction
(Month/Day/Year)
05/03/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Executive Chairman

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/03/2016		M	90,820 A	\$ 38.31 238,437 ⁽¹⁾ _{(4) (5)}	D	
Common Stock	05/03/2016		S	90,820 D	\$ 100.94 147,617 ₍₂₎	D	
Common Stock	05/03/2016		M	6,920 A	\$ 38.31 154,537	D	
Common Stock	05/03/2016		S	6,920 D	\$ 101.89 147,617	D	

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- This transaction was executed in multiple trades at prices ranging from \$100.60 to \$101.59. The price reported above reflects the
- (2) weighted average sales price. Mr. Roberts hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.

- This transaction was executed in multiple trades at prices ranging from \$101.60 to \$102.50. The price reported above reflects the
- (3) weighted average sales price. Mr. Roberts hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.

- (4) On February 2, 2016, 24,411 shares were transferred to a Grantor Retained Annuity Trust for the benefit of Mr. Roberts' children of which Mr. Roberts is Trustee.
- (5) On February 22, 2016, 20,164 shares held in Grantor Retained Annuity Trusts were transferred back to Mr. Roberts.
- (6) Includes 120,910 shares held in Grantor Retained Annuity Trusts for the benefit of Mr. Roberts' children of which Mr. Roberts is Trustee and 11,166 shares held in trust for the benefit of Mr. Roberts' children of which Mr. Roberts' spouse is Trustee.
- (7) Right to buy.
- (8) 32,580 options exercisable on each of February 3, 2012, February 3, 2013 and February 3, 2014, cumulatively.
- (9) The transaction is the exercise of a derivative security.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.