## Edgar Filing: AMERIPRISE FINANCIAL INC - Form 4

AMERIPRISE Form 4 May 01, 2015	E FINANCIAL	INC								
FORM	Δ								PPROVAL	
	UNITED	STATES		RITIES A			E COMMISSION	OMB Number:	3235-0287	
if no longe subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruct	Section 16. SECURITIES Form 4 or							Expires: January 31, 2005 Estimated average burden hours per response 0.5		
1(b). (Print or Type Re	esponses)									
1. Name and Address of Reporting Person <u>*</u> DiGeso Amy			2. Issuer Name <b>and</b> Ticker or Trading Symbol AMERIPRISE FINANCIAL INC [AMP]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) GENERAL C OFFICE, 109 FINANCIAL	COUNSEL'S 8 AMERIPRIS	Middle) E		of Earliest T Day/Year) 2015	ransaction		X Director Officer (give below)	title 104 below)	% Owner her (specify	
				If Amendment, Date Original iled(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
MINNEAPO	LIS, MN 55474	Ļ					Person	Nore than One K	eporung	
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Securities A	Acquired, Disposed o	f, or Beneficia	lly Owned	
	. Transaction Date Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if TransactionAcquired (A) or Code Disposed of (D)		5. Amount of Securities I Beneficially ( Owned (		7. Nature of Indirect			
Reminder: Report	rt on a separate line	e for each cl	ass of sec	urities benef	ficially own	ned directly	or indirectly.			

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock (Annual)	<u>(1)</u>	04/29/2015		А	980.4691		(2)	(2)	Common Stock	980.4691

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## **Reporting Owners**

Reporting Owner Name / Address		Relationsh		
	Director	10% Owner	Officer	Other
DiGeso Amy GENERAL COUNSEL'S OFFICE 1098 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474	Х			
Signatures				
/s/ Thomas R. Moore for Amy DiGeso	05/01/2015	5		
**Signature of Reporting Person	Date			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of phantom stock represents the right to receive one share of Ameriprise Financial, Inc. common stock.

Upon ceasing to be a non-employee director of Ameriprise Financial, Inc., the reporting director will receive common stock of(2) Ameriprise Financial, Inc. in settlement of the reporting director's deferred share units on a one-for-one basis in a lump sum at the end of the quarter immediately following the quarter in which the reporting director's termination of service on the Board of Directors occurs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.