#### Edgar Filing: RED ROBIN GOURMET BURGERS INC - Form 4

#### RED ROBIN GOURMET BURGERS INC

Form 4

March 02, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

HARRYMAN TERRY D

(Last) (First) (Middle)

6312 SOUTH FIDDLER'S GREEN

CIRCLE, SUITE 200N

(Street)

(State)

02/26/2015

**GREENWOOD** VILLAGE, CO 80111

(City)

Common

Stock

2. Issuer Name and Ticker or Trading

Symbol

RED ROBIN GOURMET **BURGERS INC [RRGB]** 

3. Date of Earliest Transaction

(Month/Day/Year) 02/26/2015

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

VP, CAO and Controller

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if Security (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

 $22^{(1)}$ 

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial (D) or Indirect (I) Ownership (Instr. 4) (Instr. 4)

Transaction(s) or Code V Amount (D) Price

(A)

D

(Instr. 3 and 4)

 $1,532^{(2)}$ 84.07

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. | Title of  | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                   | 5.         | 6. Date Exerc       | cisable and       | 7. Titl | le and   | 8. Price of | 9. Nu |
|----|-----------|-------------|---------------------|--------------------|----------------------|------------|---------------------|-------------------|---------|----------|-------------|-------|
| D  | erivative | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber    |            | Expiration D        | ate               | te Amou |          | Derivative  | Deriv |
| S  | ecurity   | or Exercise |                     | any                | Code                 | of         | (Month/Day/         | Year)             | Under   | lying    | Security    | Secui |
| (I | nstr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivativ |            | ;                   |                   | Secur   | ities    | (Instr. 5)  | Bene  |
|    |           | Derivative  |                     |                    |                      | Securities |                     |                   | (Instr. | 3 and 4) |             | Owne  |
|    |           | Security    |                     |                    | Acquired             |            |                     |                   |         |          |             | Follo |
|    |           | •           |                     |                    |                      | (A) or     |                     |                   |         |          |             | Repo  |
|    |           |             |                     |                    |                      | Disposed   |                     |                   |         |          |             | Trans |
|    |           | of (D)      |                     |                    |                      |            |                     |                   | (Instr  |          |             |       |
|    |           |             |                     |                    |                      | (Instr. 3, |                     |                   |         |          |             | `     |
|    |           |             |                     |                    |                      | 4, and 5)  |                     |                   |         |          |             |       |
|    |           |             |                     |                    |                      | , ,        |                     |                   |         |          |             |       |
|    |           |             |                     |                    |                      |            |                     |                   |         | Amount   |             |       |
|    |           |             |                     |                    |                      |            | Date<br>Exercisable | Expiration e Date | Title   | or       |             |       |
|    |           |             |                     |                    |                      |            |                     |                   |         | Number   |             |       |
|    |           |             |                     |                    |                      |            | Lacicisable         |                   |         | of       |             |       |
|    |           |             |                     |                    | Code V               | (A) (D)    |                     |                   |         | Shares   |             |       |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HARRYMAN TERRY D 6312 SOUTH FIDDLER'S GREEN CIRCLE SUITE 200N GREENWOOD VILLAGE, CO 80111

VP, CAO and Controller

### **Signatures**

/s/ Michael L. Kaplan, Attorney-in-Fact

03/02/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

In connection with the vesting of 71 time-based restricted stock units, which were granted on February 26, 2013 under the issuer's Second Amended and Restated 2007 Performance Incentive Plan, the reporting person sold 22 of such shares to the issuer to satisfy his tax

- (1) withholding obligations. The sale was approved by the issuer's Compensation Committee in accordance with Rule 16b-3(d)(1) of the Exchange Act (the "Act"), and as such, the sale is exempt from Section 16(b) of the Act pursuant to Rule 16b-3(e) promulgated the regarder.
- (2) Includes 1,134 restricted stock units subject to vesting and forfeiture restrictions.

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