**ABIOMED INC** Form 4 May 28, 2014

## FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

if no longer subject to Section 16. Form 4 or

Check this box

January 31, Expires: 2005

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person |
|-----------------------------------------|
| Howley Michael G                        |

2. Issuer Name and Ticker or Trading Symbol

#### 5. Relationship of Reporting Person(s) to Issuer

ABIOMED INC [ABMD]

(Check all applicable)

(Last)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner

C/O ABIOMED, INC., 22 CHERRY

(Street)

(First)

05/23/2014

Other (specify X\_ Officer (give title below)

VP, Global Sales & Marketing

HILL DRIVE

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

### DANVERS, MA 01923

(City)

| (City)                                 | (State)                                 | (Zip) Tab                                                   | le I - Non-                            | Derivativ                         | e Secu                       | ırities Acqui        | red, Disposed of,                                                                                                  | or Beneficiall                                           | y Owned                                               |
|----------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-----------------------------------|------------------------------|----------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securionor Dispo<br>(Instr. 3, | sed of<br>4 and<br>(A)<br>or |                      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock,<br>\$.01 par<br>value | 05/23/2014                              |                                                             | S                                      | 3,789<br>(4)                      | D                            | \$ 20.9632 (5)       | 70,697                                                                                                             | D                                                        |                                                       |
| Common<br>Stock,<br>\$.01 par<br>value | 05/27/2014                              |                                                             | S                                      | 5,434<br>(4)                      | D                            | \$<br>21.2065<br>(7) | 65,263                                                                                                             | D                                                        |                                                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | Transaction Date 3A. Deemed 4. 5. 6. Date Exercisable and Ionth/Day/Year) Execution Date, if any Code of (Month/Day/Year) (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        |         | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                    |                 |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|---------|---------------------------------------------------------------|--------------------|-----------------|-------------------------------------|
|                                                     |                                                                       |                                      |                                                                                                                                                                                                                 | Code V | (A) (D) | Date Exercisable                                              | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
| Stock Option (Right to Buy) (1)                     | \$ 5.23                                                               |                                      |                                                                                                                                                                                                                 |        |         | 03/05/2009(2)                                                 | 03/05/2019         | Common<br>Stock | 25,000                              |
| Stock Option (right to buy) (1)                     | \$ 5.86                                                               |                                      |                                                                                                                                                                                                                 |        |         | 03/31/2010(3)                                                 | 05/28/2019         | Common<br>Stock | 15,000                              |
| Stock Option (right to buy) (1)                     | \$ 10.03                                                              |                                      |                                                                                                                                                                                                                 |        |         | 06/03/2011(2)                                                 | 06/03/2020         | Common<br>Stock | 30,000                              |
| Stock Option (Right to buy) (1)                     | \$ 22.44                                                              |                                      |                                                                                                                                                                                                                 |        |         | 05/22/2013(2)                                                 | 05/22/2022         | Common<br>Stock | 25,000                              |
| Stock Option (Right to Buy) (1)                     | \$ 23.15                                                              |                                      |                                                                                                                                                                                                                 |        |         | 05/14/2014(2)                                                 | 05/14/2023         | Common<br>Stock | 25,000                              |
| Stock<br>Option<br>(Right to<br>Buy) (1)            | \$ 21.55                                                              |                                      |                                                                                                                                                                                                                 |        |         | 05/14/2015 <u>(6)</u>                                         | 05/14/2024         | Common<br>Stock | 25,000                              |

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### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Howley Michael G C/O ABIOMED, INC. 22 CHERRY HILL DRIVE DANVERS. MA 01923

VP, Global Sales & Marketing

# **Signatures**

/s/ Stephen C. McEvoy (by power of attorney)

05/28/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy the number of shares of common stock set forth on Table II, Column 7, under the Abiomed, Inc. 2008 Stock Incentive Plan.
- (2) These options become exerciseable in annual 25% increments, commencing on the date shown forth in Table II, Column 6.
- (3) These options became exerciseable upon the achievement of a certain performance milestone.
- (4) Sale of common stock pursuant to reporting owner's 10b5-1 plan sold to pay tax obligations upon vesting.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$20.8600 (5) and \$21.4450. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (6) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.
  - This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$21.0000
- (7) and \$21.5700. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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