## Edgar Filing: ABIOMED INC - Form 4

ABIOMED INC Form 4 May 28, 2014 <b>FORM 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).							OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5				
(Print or Type	Responses)										
Howley Michael G Symb				r Name <b>an</b> 1ED INC			8	5. Relationship of Reporting Person(s) to Issuer			
(Me				3. Date of Earliest Transaction (Month/Day/Year) 05/23/2014				(Check all applicable) DirectorX Officer (give title Other (specify below) VP, Global Sales & Marketing			
				iled(Month/Day/Year) A				5. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	e Secu		ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if	Code (Instr. 8)	4. Securi onr Dispo (Instr. 3, Amount	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, \$.01 par value	05/23/2014			S	3,789 (4)	D	\$ 20.9632 (5)	70,697	D		
Common Stock, \$.01 par value	05/27/2014			S	5,434 ( <u>4)</u>	D	\$ 21.2065 (7)	65,263	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02)

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# required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and 4	ecurities	8. De Se (Ir
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy) <u>(1)</u>	\$ 5.23					03/05/2009(2)	03/05/2019	Common Stock	25,000	
Stock Option (right to buy) <u>(1)</u>	\$ 5.86					03/31/2010 <u>(3)</u>	05/28/2019	Common Stock	15,000	
Stock Option (right to buy) <u>(1)</u>	\$ 10.03					06/03/2011 <u>(2)</u>	06/03/2020	Common Stock	30,000	
Stock Option (Right to buy) <u>(1)</u>	\$ 22.44					05/22/2013 <u>(2)</u>	05/22/2022	Common Stock	25,000	
Stock Option (Right to Buy) <u>(1)</u>	\$ 23.15					05/14/2014(2)	05/14/2023	Common Stock	25,000	
Stock Option (Right to Buy) (1)	\$ 21.55					05/14/2015 <u>(6)</u>	05/14/2024	Common Stock	25,000	

## **Reporting Owners**

Reporting Owner Name / Address	ss Relationships						
	Director	10% Owner	Officer	Other			
Howley Michael G C/O ABIOMED, INC. 22 CHERRY HILL DRIVE DANVERS, MA 01923			VP, Global Sales & Marketing				
Signatures							
/s/ Stephen C. McEvoy (by pov attorney)	wer of	(	05/28/2014				
**Signature of Reporting Perso	n		Date				

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy the number of shares of common stock set forth on Table II, Column 7, under the Abiomed, Inc. 2008 Stock Incentive Plan.
- (2) These options become exerciseable in annual 25% increments, commencing on the date shown forth in Table II, Column 6.
- (3) These options became exerciseable upon the achievement of a certain performance milestone.
- (4) Sale of common stock pursuant to reporting owner's 10b5-1 plan sold to pay tax obligations upon vesting.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$20.8600(5) and \$21.4450. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (6) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.
- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$21.0000(7) and \$21.5700. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.