Edgar Filing: GUGGENHEIM STRATEGIC OPPORTUNITIES FUND - Form 4

GUGGENHEIM STRATEGIC OPPORTUNITIES FUND

Form 4

December 18, 2013

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FC	ORM 4	OMB APPROVAL									
		OMB Number:	3235-0287								
	Check this be	Expires:	January 31,								
	if no longer subject to Section 16. Form 4 or	Estimated average burden hours per response 0									
	Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print	or Type Resp	ponses)									
1. Name and Address of Reporting Person * Barnes Randall C				2. Issuer Name and Ticker or Trading Symbol	5. Relationship of l Issuer	hip of Reporting Person(s) to					
				GUGGENHEIM STRATEGIC OPPORTUNITIES FUND [GOF]	(Check	k all applicable)					
	(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		itle _X_ Oth	Owner er (specify				
2455 CORPORATE WEST DRIVE				12/18/2013	below)	below) Trustee					
		(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joi Applicable Line) _X_ Form filed by O	•					
LISLE, IL 60532					Form filed by Me Person	ore than One Re	porting				
	(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acc	quired, Disposed of,	or Beneficial	y Owned				

		1401	CI-INOII-D	ciivative	Secui	nies Acq	un cu, Disposcu o	i, or Deficile	y Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	(Instr. 3,	4 and	5)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(4)		Reported		
					(A)		Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	12/18/2013		P	900	A	\$ 20.55	12,836.47	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transaction	5. orNumber	6. Date Exerc Expiration D		7. Title a		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/		Underly Securitic (Instr. 3	ring es	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title N	lumber		

Reporting Owners

Reporting Owner Name / Address	Relationships						
-	Director	10% Owner	Officer	Other			
Barnes Randall C	***						
2455 CORPORATE WEST DRIVE	X			Trustee			
LISLE, IL 60532							

Signatures

/s/ Randall C. Barnes, by Mark E. Mathiasen, Pursuant to a Power of Attorney

12/18/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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