COHERENT INC

Form 4

November 12, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

Number: 3235-0287

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

See Instruction

Filed
Section

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person <u>*</u> DiMarco Bret

(First)

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

COHERENT INC [COHR]

3. Date of Earliest Transaction

____ Director _____ 10% Owner __X_ Officer (give title _____ Other (specify

P.O. BOX 54980

(Month/Day/Year) 10/31/2013

below) below)

Exec VP & General Counsel

(Check all applicable)

(Street) 4. If Amendment, Date Original

(Middle)

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

SANTA CLARA, CA 95056-0980

| (City) | (State) | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | |
|--------------------------------------|---|--|---------------------------------|---|---------------------------------------|------------------------------|---------------|--|--|---|--|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (Instr. | | 4. Securi onor Dispo (Instr. 3, | sed of 4 and (A) or | ` ′ | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock | 10/31/2013 | | J | V | 97 (1) | A | \$ 46.6225 | 6,466 | D | | | |
| Common Stock | 11/08/2013 | | M | | 3,350 | A | \$ 0 | 9,816 | D | | | |
| Common Stock | 11/08/2013 | | F | | 1,259 (2) | D | \$ 65.47 | 8,557 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired Disposed (Instr. 3, 4, 5) | (A) or of (D) |) | | 7. Title and Amo Underlying Secur (Instr. 3 and 4) | |
|---|---|---|---|---|---|---------------|---------------------|--------------------|--|-----------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | An or Nu of Sha |
| Restricted Stock Units | \$ 0 | 11/08/2013 | | A | 5,977 | | <u>(3)</u> | 11/08/2016 | Common Stock | 5, |
| Performance Restricted Stock Units | \$ 0 | 11/08/2013 | | A | 2,989 | | <u>(4)</u> | 11/08/2016 | Common Stock | 2, |
| Restricted Stock Units | \$ 0 | 11/08/2013 | | M | | 3,350 | 11/08/2013 | 11/08/2013 | Common Stock | 3, |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DiMarco Bret P.O. BOX 54980 SANTA CLARA, CA 95056-0980

Exec VP & General Counsel

Signatures

Bret DiMarco 11/12/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Purchase under employee stock purchase plan.
- (2) Represents shares withheld to satisfy minimum tax withholding obligations for released restricted stock units.
- (3) This Restricted Stock Unit award vests over three years with one third of the grant vesting on each of the subsequent anniversaries of the grant.

This Performance Restricted Stock Unit award vests after the three year anniversary of the grant based on the relative performance of the underlying stock versus the Russell 2000 Index for the 90 trading days on and prior to November 8, 2016 versus the same 90 trading day period ending November 8, 2013. The number of shares in the table reflects the number of RSUs at target. The actual range of RSUs is 0-200% of the target number, depending upon what achievement, if any, results at the measurement date.

Reporting Owners 2

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