

ABIOMED INC  
Form 4  
November 08, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Greenfield Andrew J

(Last) (First) (Middle)

C/O ABIOMED, INC., 22 CHERRY HILL DRIVE

(Street)

DANVERS, MA 01923

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ABIOMED INC [ABMD]

3. Date of Earliest Transaction (Month/Day/Year)  
11/06/2013

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

VP Healthcare Solutions

6. Individual or Joint/Group Filing (Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock, \$.01 par	11/06/2013		M		5,835	A	\$ 14.98
Common Stock, \$.01 par	11/06/2013		M		20,000	A	\$ 9.36
Common Stock, \$.01 par	11/06/2013		S <sup>(5)</sup>		25,835	D	\$ 25.0002
							<sup>(6)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Option (right to buy) <sup>(1)</sup>	\$ 14.98	11/06/2013		P	5,835	01/04/2006 <sup>(3)</sup> 01/04/2015	Common Stock 5,835
Stock Option (right to buy) <sup>(2)</sup>	\$ 9.36	11/06/2013		P	20,000	06/08/2006 <sup>(3)</sup> 06/08/2015	Common Stock 20,000
Stock Option (right to buy) <sup>(2)</sup>	\$ 8.77					11/16/2006 <sup>(3)</sup> 11/16/2015	Common Stock 0
Stock Option (right to buy) <sup>(2)</sup>	\$ 13.57					06/01/2007 <sup>(3)</sup> 06/01/2016	Common Stock 0
Stock Option (right to buy) <sup>(2)</sup>	\$ 11.27					05/30/2008 <sup>(3)</sup> 05/30/2017	Common Stock 0
Stock Option (right to buy) <sup>(2)</sup>	\$ 13.8					05/23/2009 <sup>(3)</sup> 05/23/2018	Common Stock 0
Stock Option (right to buy) <sup>(4)</sup>	\$ 5.86					05/28/2010 <sup>(3)</sup> 05/28/2019	Common Stock 0



## Edgar Filing: ABIOMED INC - Form 4

Commission staff, the Issuer, or a security holder of the issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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