

ACTUANT CORP

Form 4

October 15, 2013

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
BLACKMORE WILLIAM

(Last) (First) (Middle)

**N86 W12500 WESTBROOK
CROSSING**

(Street)

MENOMONEE FALLS, WI 53051

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ACTUANT CORP [ATU]

3. Date of Earliest Transaction
(Month/Day/Year)
10/11/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)

Exec. VP-Engineered Solutions

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	10/11/2013		M	29,150 A	\$ 18.33 90,615	D	
Class A Common Stock	10/11/2013		S	29,150 D	\$ 37.5 61,465	D	
Class A Common Stock	10/14/2013		M	21,250 A	\$ 23.64 82,715	D	
Class A Common	10/14/2013		S	21,250 D	\$ 37.5 61,465	D	

Stock

Class A
Common
Stock294 ⁽¹⁾

I

By 401(k)

Class A
Common
Stock3,615 ⁽²⁾

I

By Deferred
Compensation
Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy) ⁽³⁾	\$ 18.33	10/11/2013		M		29,150		⁽⁴⁾	01/09/2019	Class A Common Stock	29,150
Employee Stock Option (Right to Buy) ⁽⁵⁾	\$ 23.64	10/14/2013		M		21,250		⁽⁶⁾	01/16/2017	Class A Common Stock	21,250

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

BLACKMORE WILLIAM
N86 W12500 WESTBROOK CROSSING
MENOMONEE FALLS, WI 53051

Exec. VP-Engineered Solutions

Signatures

/s/ Eric Orsic, as
Attorney-in-Fact

10/15/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the best estimate of the number of share equivalents held by the reporting person in the unitized stock fund of the Actuant

(1) 401(k) Plan. The unitized stock fund consists of stock of Actuant and cash and other short term investments. The number of Actuant share equivalents fluctuates depending on the ratio of the number of shares of stock of Actuant in the fund to other investments.

(2) Best estimate of shares held pursuant to the Actuant Corporation Deferred Compensation Plan.

(3) Option granted under the Actuant Corporation 2009 Omnibus Incentive Plan.

(4) Fifty percent of the option became exercisable on 1/9/2012 and the balance becomes exercisable on 1/9/2014.

(5) Option granted under the Actuant Corporation 2002 Stock Option Plan.

(6) Fifty percent of the option became exercisable on 1/16/2010 and the balance became exercisable on 1/16/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.