

MINOGUE MICHAEL R

Form 4

March 29, 2011

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
MINOGUE MICHAEL R

(Last) (First) (Middle)

C/O ABIOMED, INC., 22
CHERRY HILL DRIVE

(Street)

DANVERS, MA 01923

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ABIOMED INC [ABMD]

3. Date of Earliest Transaction
(Month/Day/Year)
03/25/2011

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President, CEO, Chairman

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock, \$.01 par value	03/25/2011		S <u>(8)</u>		9,517	D	\$ 14.5147 <u>(9)</u>	229,591	D
Common Stock, \$.01 par value	03/28/2011		S <u>(8)</u>		5,500	D	\$ 14.5	224,091	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not**

SEC 1474
(9-02)

required to respond unless the form
displays a currently valid OMB control
number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy) <u>(1)</u>	\$ 9.05					04/05/2005 ⁽⁴⁾	04/05/2014	Common Stock	100,000	
Stock Option (right to buy) <u>(2)</u>	\$ 9.05					04/05/2005 ⁽⁴⁾	04/05/2014	Common Stock	192,200	
Stock Option (right to buy) <u>(3)</u>	\$ 9.05					04/05/2005 ⁽⁴⁾	04/05/2014	Common Stock	100,000	
Stock Option (right to buy) <u>(2)</u>	\$ 9.36					06/08/2006 ⁽⁴⁾	06/08/2015	Common Stock	100,000	
Stock Option (right to buy) <u>(2)</u>	\$ 13.57					06/01/2007 ⁽⁴⁾	06/01/2016	Common Stock	260,000	
Stock Option (right to buy) <u>(2)</u>	\$ 11.27					05/30/2008 ⁽⁴⁾	05/30/2017	Common Stock	85,000	
Stock Option (right to buy) <u>(2)</u>	\$ 13.8					05/23/2009 ⁽⁴⁾	05/23/2018	Common Stock	43,333	

Stock Option (right to buy) ⁽⁶⁾	\$ 18.63	06/15/2009 ⁽⁵⁾	08/13/2018	Common Stock	93,750
Stock Option (right to buy) ⁽⁵⁾	\$ 5.86	05/28/2010 ⁽⁴⁾	05/28/2019	Common Stock	148,000
Stock Option (right to buy) ⁽⁵⁾	\$ 5.86	03/31/2010 ⁽⁷⁾	05/28/2019	Common Stock	130,500
Stock Option (right to buy) ⁽⁵⁾	\$ 10.03	06/03/2011 ⁽⁴⁾	06/03/2020	Common Stock	105,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MINOGUE MICHAEL R C/O ABIOMED, INC., 22 CHERRY HILL DRIVE DANVERS, MA 01923	X		President, CEO, Chairman	

Signatures

/s/ Stephen McEvoy (by power of attorney) 03/29/2011

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy shares of Common Stock under the ABIOMED, Inc. 1998 Equity Incentive Plan.
- (2) Grant to reporting person of option to buy shares of Common Stock under the ABIOMED, Inc. 2000 Stock Incentive Plan.
- (3) Grant to reporting person of inducement option to buy shares of Common Stock under NASDAQ Marketplace Rule 4350. These options were granted outside of the Company's established stock option plans.
- (4) These options become exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (5) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.
- (6) These options become exercisable based on the achievement of certain performance milestones.
- (7) These options become exercisable upon the achievement of a certain performance milestone, based on the results of Abiomed's fiscal year ended March 31, 2010.
- (8)

Edgar Filing: MINOGUE MICHAEL R - Form 4

The sale of common stock granted more than 5 years old reported in this Form 4 was effected pursuant to a 10b5-1 trading plan adopted by the Reporting Person on 11/25/2009.

- This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$14.50
(9) and \$14.58. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.