WEISER MICHAEL

Form 4

January 04, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

OMB

3235-0287 Number:

OMB APPROVAL

January 31, Expires: 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

obligations

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person * WEISER MICHAEL

(First)

2. Issuer Name and Ticker or Trading

Symbol

[ZIOP]

(Middle)

ZIOPHARM ONCOLOGY INC

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

X_ Director 10% Owner Officer (give title Other (specify

C/O ZIOPHARM ONCOLOGY. INC., 1180 AVENUE OF THE AMERICAS, SUITE 1920

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

3. Date of Earliest Transaction

(Month/Day/Year)

12/31/2010

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

D

NEW YORK, NY 10036

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Indirect Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported

(A) Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Common Stock,

150,945 (1)

\$.001 par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: WEISER MICHAEL - Form 4

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)		rities nired (ispose r. 3, 4	(A) ed of	6. Date Exerci Expiration Dat (Month/Day/Y	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A	A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrants to Purchase Common Stock	\$ 4.75							09/13/2005	05/31/2012	Common Stock, \$.001 par value	35,566
Director Stock Option (right to buy)	\$ 4.31							07/20/2007	12/22/2014	Common Stock, \$.001 par value	15,029
Director Stock Option (right to buy)	\$ 5.01							04/26/2006	04/26/2016	Common Stock, \$.001 par value	15,000
Warrants to purchase Common Stock	\$ 5.09							05/03/2006	05/03/2013	Common Stock, \$.001 par value	18,319
Director Stock Option (right to buy)	\$ 6.49							12/13/2009	12/13/2016	Common Stock, \$.001 par value	15,000
Stock Option (right to buy)	\$ 4.85							<u>(2)</u>	06/18/2017	Common Stock	15,000
Stock Option (right to buy)	\$ 2.73							<u>(3)</u>	12/12/2017	Common Stock	20,000
	\$ 0.7							<u>(4)</u>	05/13/2019		15,000

Edgar Filing: WEISER MICHAEL - Form 4

Stock Option (right to buy)							Common Stock	
Stock Options (right to buy)	\$ 2.85				<u>(5)</u>	12/31/2019	Common Stock, \$.001 par value	7,500
Stock Options (right to buy)	\$ 5.09				03/31/2011	03/31/2020	Common Stock, \$.001 par value	15,000
Stock Option (right to buy)	\$ 4.77	12/31/2010	A	30,000	<u>(6)</u>	12/31/2020	Common Stock	30,000

Reporting Owners

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Namess	Director	10% Owner	Officer	Other		
WEISER MICHAEL						
C/O ZIOPHARM ONCOLOGY, INC.	X					
1180 AVENUE OF THE AMERICAS, SUITE 1920	Λ					
NEW YORK, NY 10036						

Signatures

/s/ Michael

Weiser 01/03/2011

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes grants of restricted stock with restrictions that lapsed as to 3,750 shares on December 31, 2010 and restrictions that will lapse as to 15,000 shares on March 31, 2011 and as to 3,750 shares on December 31, 2011.
- (2) 5,000 shares vest on each of 6/18/08, 6/18/09 and 6/18/10.
- (3) 6,667 shares vest on each of 12/12/08 and 12/12/09; 6,666 shares vest on 12/12/10.
- (4) 5,000 shares vest on each of 12/31/09, 6/30/2010 and 12/31/2010.
- (5) 3,750 shares vest on each of 12/31/2010 and 12/31/2011.
- (6) 10,000 shares vest on each of 12/31/2011, 12/31/2012 and 12/31/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3