#### Edgar Filing: GARDNER HERBERT M - Form 5

#### GARDNER HERBERT M

Form 5

February 03, 2010

**OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer GARDNER HERBERT M Symbol SUPREME INDUSTRIES INC (Check all applicable) [STS] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director 10% Owner \_X\_\_ Officer (give title Other (specify (Month/Day/Year) below) below) 12/26/2009 Chairman of Board and CEO POBOX 6199 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) FAIR HAVEN, NJÂ 07704 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) Amount (D) Price Class A Â Â Common 12/10/2009 G \$ (3)  $427,282 \frac{(4)}{}$ 3,050 D D Stock Class A By Spouse Â Â Â Â Â Â Common 10,447 I (1) Stock Class B

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559,869

D

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Common

Stock

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Class B Common Stock	Â	Â	Â	Â	Â	Â	63,349	I	By Spouse
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.			Persons who contained in the form dis	SEC 2270 (9-02)					

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option (reload)	\$ 5.6	Â	Â	Â	Â	Â	(2)	04/17/2010	Class A Common Stock	25,131
Incentive Stock Option	\$ 6.15	Â	Â	Â	Â	Â	(2)	06/05/2012	Class A Common Stock	27,030
Incentive Stock Option (reload)	\$ 8.2	Â	Â	Â	Â	Â	(2)	10/27/2010	Class A Common Stock	11,465
Incentive Stock Option	\$ 6.52	Â	Â	Â	Â	Â	(2)	05/03/2013	Class A Common Stock	32,436
Incentive Stock Option (reload)	\$ 5.78	Â	Â	Â	Â	Â	(2)	04/29/2014	Class A Common Stock	29,058
Incentive Stock Option (reload)	\$ 4.86	Â	Â	Â	Â	Â	(2)	05/07/2015	Class A Common Stock	30,582
Incentive	\$ 1.55	Â	Â	Â	Â	Â	06/26/2010	06/26/2016	Class A	15,000

Stock Common Option Stock

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GARDNER HERBERT M

P O BOX 6199 Â X Â Chairman of Board and CEO Â

FAIR HAVEN, NJÂ 07704

# **Signatures**

Herbert M. O2/03/2010 Gardner

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting person disclaims beneficial ownership of shares owned by wife.
- (2) Currently exercisable.
- (3) Not applicable.
- (4) Includes 203,115 shares of Supreme Class A Common Stock owned by IRAs of reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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