Edgar Filing: NEILSON MARK C - Form 4

NEILSON M Form 4 August 27, 20											
FORM		D STATES	SECUR	ITIES A	ND EX(THAT	NGE (COMMISSION	r	PPROVAL	
		DOTATES		hington,					OMB Number:	3235-0287	
Check this if no long subject to Section 16 Form 4 or	er STAT 5.	EMENT O	GES IN BENEFICIAL OWNERSHIP OF SECURITIES				Expires: January 31, 2005 Estimated average burden hours per response 0.5				
Form 5 obligation may conti <i>See</i> Instru 1(b).	nue. Section 1	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section									
(Print or Type R	esponses)										
NEILSON MARK C Symbol			Symbol	UPREME INDUSTRIES INC				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 7140 CALA	(First) BRIA COUR'	(Middle) T	3. Date of (Month/Da 08/25/20	-	insaction			X_ Director Officer (give below)		• Owner er (specify	
			ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
SAN DIEGO), CA 92122								More than One Re		
(City)	(State)	(Zip)	Table	I - Non-Do	erivative S	Securi	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	ity (Month/Day/Year) Execution Date, if			3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A)			d of	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Class A				Code V	Amount	or (D)	Price \$	(Instr. 3 and 4)			
Common Stock	08/25/2009			А	8,353	А	2.98 (2)	90,577 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Statutory Stock Option	\$ 6.15					(3)	06/05/2012	Class A Common Stock	6,487
Nonqualified Stock Option (reload)	\$ 4.86					<u>(4)</u>	05/07/2013	Class A Common Stock	5,097

Reporting Owners

Reporting Owner Name / Addre	Relationships					
	Director	10% Owner	Officer	Other		
NEILSON MARK C 7140 CALABRIA COURT SAN DIEGO, CA 92122	Х					
Signatures						
Mark C. Neilson	08/27/2009					

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 35,142 shares owned by Reporting Persons IRA

(2) The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$2.89 to \$3.04, inclusive. The reporting person undertakes to provide Supreme Industries, Inc., any security holder of Supreme Industries, Inc., or the staff of the SEC, upon request, full information regarding the number of shares purchased at each separate price within the

- ranges set forth in footnote (2) to this Form 4.
- (3) Currently exercisable.
- (4) Option vests 33% after one year from date of grant ("May 8, 2008"); additional 33% two years from date of grant; and the remaining 34% three years from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.