## Edgar Filing: Froude Donald E - Form 4

Froude Donald E Form 4										
February 04, 2009	9									
FORM 4	UNITED	STATES		RITIES A shington			COMMISSION	N OMB	PPROVAL 3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	STATEM Filed pur Section 17(		F CHAN	Expires: Estimated burden hou response	Number:January 31Expires:2005Estimated averageburden hours perresponse0.5					
(Print or Type Respon	nses)									
1. Name and Address of Reporting Person <u>*</u> Froude Donald E			2. Issuer Name <b>and</b> Ticker or Trading Symbol AMERIPRISE FINANCIAL INC			-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) ( 1098 AMERIPR CENTER	· · · · ·	Middle) CIAL	(Month/Day/Year)				Director 10% Owner X Officer (give title Other (specify below) below) President, U.S. Advisor Group			
(Street) 4				4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
	State)	(Zip)	Tah	le I - Non-l	Derivative	Securities A	Person	of. or Beneficia	lly Owned	
	nnsaction Date th/Day/Year)		ed Date, if	3. Transactio Code	4. Securit nAcquired Disposed (Instr. 3, 4	ies (A) or of (D)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Reminder: Report on	a separate line	for each cla	ass of sect	urities bene	Perso inform requir	ns who res nation cont red to respo ays a curre	or indirectly. spond to the colle ained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab					posed of, or convertible s	Beneficially Owned securities)	1		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	iorDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A Disposed of (Instr. 3, 4, 5)	f (D)			(Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (Right to Buy)	\$ 21.34	02/02/2009		А	129,979		<u>(1)</u>	02/02/2019	Common Stock	129,97

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Froude Donald E 1098 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474			President, U.S. Advisor Group				
Signatures							
/s/ Thomas R. Moore for Donald E.	02/04/2009						

Froude

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v). \*
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

One-third of the options vest after two years; one-third vest after three years; and the final third vest after four years from February 2, (1) 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.