## Edgar Filing: AMERIPRISE FINANCIAL INC - Form 4

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AMERIPR Form 4 June 12, 20	ISE FINANCIAL )07	INC								
EOPI	МЛ								PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							N OMB Number:	3235-0287		
	Check this box					Expires:	January 31,			
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							F Estimated	2005 average		
	Section 16. SECURITIES						burden hou	burden hours per		
Form 4 Form 5		managet to	Section	16(a) of the	o Soorii	tion Erro	hongo Act of 1024	response	. 0.5	
obligat	ions Section 17						change Act of 1934 Act of 1935 or Sect			
may co	ontinue. Struction 170			nvestment	•	- ·		1011		
1(b).	aruction	00(11)	,		Compu					
(Print or Type	e Responses)									
	Address of Reporting	Person <sup>*</sup>	2. Issue	er Name <b>an</b> o	l Ticker or	Trading		of Reporting Per	rson(s) to	
Berman W	alter Stanley		Symbol				Issuer			
			AMERIPRISE FINANCIAL INC				C (Ch	(Check all applicable)		
			[AMP]							
(Last)	(First) (	(Middle)		of Earliest T	ransaction		Director		% Owner	
GENERAL COUNSEL'S			(Month/Day/Year) 03/30/2007			below)				
	1098 AMERIPRIS	SE	05/50/2	2007			Exe	cutive VP and C	ſO	
	AL CENTER									
(Street)			4. If Amendment, Date Original			6. Individual or	6. Individual or Joint/Group Filing(Check Applicable Line)			
			Filed(Month/Day/Year)							
								y One Reporting P y More than One R		
MINNEA	POLIS, MN 55474	4					Person		eporting	
(City)	(State)	(Zip)	Tab	ole I - Non-I	Derivative	Securitie	es Acquired, Disposed	of, or Beneficia	lly Owned	
	2. Transaction Date						5. Amount of			
Security (Instr. 3)	(Month/Day/Year)	Execution any	1 Date, 1f	Transactio Code	nAcquired Disposed		Securities Beneficially	Form: Direct (D) or Indirect	Indirect Beneficial	
(1130.3)		(Month/D	ay/Year)	(Instr. 8)	(Instr. 3,		Owned	(I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)	
						(A)	Reported Transaction(s)			
				Code V	Amount	or (D) Pr	(Instr. 3 and 4)			
				code v	mount	(D) 11				
Reminder: R	eport on a separate line	e for each c	lass of sec	urities benef	-					
					Doroc	no who	reasonand to the call	action of	SEC 1474	

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquire (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D d (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (I	D) Date Exercisable	Expiration Date	Title	Amount of Number of Shares	
Phantom Stock	<u>(1)</u>	03/30/2007		А	6,233.3633	(2)	(2)	Common Stock	6,233.30	
Phantom Stock	<u>(1)</u>	04/02/2007		А	3,012.5667	(2)	(2)	Common Stock	3,012.50	

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Berman Walter Stanley GENERAL COUNSEL'S OFFICE 1098 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474			Executive VP and CFO			
Signatures						
/s/ David H. Weiser for Walter Stanley Berman	00	5/12/2007				

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of phantom stock represents the right to receive one share of Ameriprise Financial, Inc. common stock.
- (2) Shares of phantom stock are payable in shares of Ameriprise common stock following termination of employment or during a specified future year in accordance with The Ameriprise Financial Deferred Compensation Plan.
- (3) Includes 22.5731 phantom shares credited to the reporting person's account as a result of the deemed reinvestment of dividends paid in May 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.