Davey Deirdre N Form 3 February 15, 2007

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AMERIPRISE FINANCIAL INC [AMP] A Davey Deirdre N (Month/Day/Year) 02/05/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1098 AMERIPRISE (Check all applicable) FINANCIAL CENTER (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Sr VP Corp Comm/Cmnity Rltns Person MINNEAPOLIS, MNÂ 55474 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 4,246 Common Stock 265 I By 401(k) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	str. 4) Expiration Date		Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	

#### Edgar Filing: Davey Deirdre N - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(1)	01/26/2013	Common Stock	3,610	\$ 21.8187	D	Â
Employee Stock Option (right to buy)	(2)	01/25/2014	Common Stock	7,220	\$ 31.3125	D	Â
Employee Stock Option (right to buy)	(3)	01/23/2015	Common Stock	8,183	\$ 32.5871	D	Â
Employee Stock Option (right to buy)	(4)	10/03/2015	Common Stock	6,229	\$ 35.035	D	Â
Employee Stock Option (right to buy)	(5)	01/27/2016	Common Stock	8,887	\$ 43.655	D	Â
Employee Stock Option (right to buy)	(6)	01/30/2017	Common Stock	4,602	\$ 58.73	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Davey Deirdre N 1098 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474	Â	Â	Sr VP Corp Comm/Cmnity Rltns	Â	

## **Signatures**

/s/ Thomas R. Moore for Deirdre N.
Davey
02/15/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests 100% on January 27, 2007.
- (2) The option vests in two equal installments beginning on January 26, 2007.
- (3) The option vests in three equal installments beginning on January 24, 2007.
- (4) The option vests in three equal installments beginning on October 3, 2007.
- (5) The option vests in four equal installments beginning on January 26, 2007.
- (6) The option vests in three equal installments beginning on January 30, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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