#### Edgar Filing: AMERIPRISE FINANCIAL INC - Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

#### AMERIPRISE FINANCIAL INC

Form 5

FORM **5** 

Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer POWERS RICHARD F III Symbol AMERIPRISE FINANCIAL INC (Check all applicable) [AMP] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 02/14/2006

GENERAL COUNSEL'S OFFICE, 50591 AMERIPRISE FINANCIAL CENTER

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting

Filed(Month/Day/Year)

(check applicable line)

**OMB APPROVAL** 

3235-0362

**OMB** 

MINNEAPOLIS, MNÂ 55474

\_X\_ Form Filed by One Reporting Person \_\_\_\_ Form Filed by More than One Reporting

(Instr. 4)

Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 1.Title of 2. Transaction Date 2A. Deemed 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (D) or (Instr. 3) Disposed of (D) Beneficially Beneficial Code (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership

(A) Fiscal Year
or (Instr. 3 and 4)

of Issuer's

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	SA. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I De Sec (In
	Security				(Instr. 3, 4 and 5)	4, (D)	Date	Expiration	Title	Amount	
					(-1)		Exercisable	Date		or Number of Shares	
Phantom Stock	Â	11/18/2005	Â	A4	6.056	Â	(2)	(2)	Common Stock	6.056	\$

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

POWERS RICHARD F III

GENERAL COUNSEL'S OFFICE
50591 AMERIPRISE FINANCIAL CENTER

MINNEAPOLIS, MNÂ 55474

# **Signatures**

/s/ Julie E. Ramacher for Richard F Powers III

02/14/2006

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each phantom unit represents the right to receive one share of Ameriprise Financial Common Stock. Deemed dividends were awarded for (1) the reported deferred share unit award granted to the reporting director under the Ameriprise Financial Deferred Share Plan. The record date for the deemed dividends was 11.04.05 and the deemed dividends were credited on 11.18.05.
- Upon ceasing to be a non-employee director of Ameriprise Financial, Inc. (the "Company"), the reporting director will receive Common Stock of the Company in settlement of the reporting director's deferred share units on a one-for-one basis in a lump sum at the end of the quarter immediately following the quarter in which the reporting director's termination of service on the Company's Board of Directors occurs

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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