#### Edgar Filing: Kreh Susan M - Form 4

Kreh Susan M Form 4 April 07, 2010OMB APPROVALFORM 4 or if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).OMB APPROVALCheck this box if no longer subject to Section 16. Form 4 or Form 5 obligations to: (Print or Type Response)STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESOMB APPROVALStatement Company Act of 1935 (b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESStatement Company Act of 1934, (Statement Company Act of 1935) or Section (16).Statement Company Act of 1935, (Statement Company Act of 1940) (Statement Company Act of 1940)(Print or Type Response)Statement Company Act of 1940)Statement Company Act of 1940)							
1. Name and Address of Reporting Person <u>*</u> Kreh Susan M				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 5757 N. GREEN BAY AVENUE, P.O. BOX 591			e of Earliest Transaction h/Day/Year)	Director 10% Owner XOfficer (give title Other (specify below) below) Vice Pres. & Corp. Controller			
			Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip) T	able I - Non-Derivative Securities Acqu	Person	or Bonoficial	lv Ownod	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3.4. Securities Acquired (A)Transactionor Disposed of (D)Code(Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	04/05/2010		A 15.144 A \$ 33.0825	12,238.559 (1) (2)	D		
Common Stock				396.797 <u>(3)</u>	Ι	By 401(k) Plan - Common	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivativ Securitie: Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3		7. Title and A Underlying S (Instr. 3 and 4	Securities 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units - Restricted Stock Plan	<u>(4)</u>					(5)	(5)	Common Stock	9,484.38
Phantom Stock Units - Restricted Stock Plan	<u>(4)</u>					<u>(7)</u>	<u>(7)</u>	Common Stock	56.044
Employee Stock Option (Right to Buy)	\$ 32.01					03/21/2009 <u>(9)</u>	03/21/2017	Common Stock	45,000
Employee Stock Option (Right to Buy)	\$ 40.21					10/01/2009 <u>(9)</u>	10/01/2017	Common Stock	36,000
Employee Stock Option (Right to Buy)	\$ 28.79					10/01/2010 <u>(9)</u>	10/01/2018	Common Stock	47,000
Employee Stock Option (Right to Buy)	\$ 24.87					10/01/2011 <u>(9)</u>	10/01/2019	Common Stock	47,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Kreh Susan M 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201			Vice Pres. & Corp. Controller		
Signatures					
Angela M. Blair, Attorney-in-Fact Kreh	for Susan	М.	04/07/2010		
**Signature of Reporting Perso	n		Date		

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 6,500 shares of restricted stock granted on November 2, 2009, which vest as follows: 3,250 on November 2, 2011, and 3,250 on November 2, 2013, subject to continuous employment with the issuer.
- (2) Includes 22.438 shares acquired through the reinvestment of dividends on April 5, 2010, at a prices ranging from \$33.01 to \$33.0825 per share.

The number of underlying securities is based on the stock fund balance on April 5, 2010. The actual number of shares issuable upon the(3) distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a April 5, 2010, stock fund price of \$33.10 per share.

(4) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock.

Includes an award of 9,000 restricted stock units granted on November 1, 2007, of which 4,500 units vested on November 2, 2009. The remaining 4,500 units will vest on November 1, 2011, subject to continued employment. The phantom stock units and dividends relating

- (5) to the units were awarded under the Johnson Controls Executive Deferred Restricted Stock Plan, and are to be settled 100% in cash, pending vesting, upon the reporting person's termination of employment with the issuer. Vested units may be transferred by the reporting person into an alternative investment account.
- (6) Includes 37.104 phantom stock units acquired through the reinvestment of dividends on April 5, 2010 at a price of \$33.10 per phantom stock unit.

The restricted stock units represent the reinvestment of dividends which are accruing under the Johnson Controls Deferred Restricted(7) Stock Plan and are to be settled 100% in cash upon the vesting of the restricted stock grant to which they relate. Vested units may be transferred by the reporting person into an alternative investment account.

- (8) Includes 25.648 phantom stock units acquired through the reinvestment of dividends on April 5, 2010 at a price of \$33.10 per phantom stock unit.
- (9) Fifty percent of the option vests after two years and the remaining fifty percent after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.