Voya Global Advantage & Premium Opportunity Fund Form SC 13G/A February 14, 2017

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# SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### **SCHEDULE 13G/A**

**Under the Securities Exchange Act of 1934** 

(Amendment No. 1)\*

# Voya Global Advantage and Premium Opportunity Fund

(Name of Issuer)

#### **Common Stock**

(Title of Class of Securities)

#### 92912R104

(CUSIP Number)

December 31, 2016

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- x Rule 13d-1(b)
- o Rule 13d-1(c)

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o Rule 13d-1(d)
*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.
The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

**SCHEDULE 13G/A** 

Page 2 of 14 Pages

```
NAME OF REPORTING PERSONS
1
      Guggenheim Capital, LLC
      CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See
2
      Instructions)
      (a) o (b) o
      SEC USE ONLY
3
      CITIZENSHIP OR PLACE OF ORGANIZATION
4
      Delaware
                                     SOLE VOTING POWER
                     5
     NUMBER OF
                                     0
       SHARES
                                     SHARED VOTING POWER
    BENEFICIALLY
                     6
     OWNED BY
                                     553,033
       EACH
                                     SOLE DISPOSITIVE POWER
     REPORTING
                     7
       PERSON
                                     0
WITH
                                     SHARED DISPOSITIVE POWER
                     8
                                     553,033
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
553,033
CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (See Instructions)
o
11
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
3.01%
TYPE OF REPORTING PERSON (See Instructions)
```

SCHEDULE 13G/A

Page 3 of 14 Pages

```
NAME OF REPORTING PERSONS
1
      Guggenheim Partners, LLC
      CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See
2
      Instructions)
      (a) o (b) o
      SEC USE ONLY
3
      CITIZENSHIP OR PLACE OF ORGANIZATION
4
      Delaware
                                     SOLE VOTING POWER
                     5
     NUMBER OF
                                     0
       SHARES
                                     SHARED VOTING POWER
    BENEFICIALLY
                     6
     OWNED BY
                                     553,033
       EACH
                                     SOLE DISPOSITIVE POWER
     REPORTING
                     7
       PERSON
                                     0
WITH
                                     SHARED DISPOSITIVE POWER
                     8
                                     553,033
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
553,033
CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (See Instructions)
o
11
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
3.01%
TYPE OF REPORTING PERSON (See Instructions)
```

TYPE OF REPORTING PERSON (See Instructions)

**SCHEDULE 13G/A** 

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```
NAME OF REPORTING PERSONS
1
      GI Holdco II LLC
      CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See
2
      Instructions)
      (a) o (b) o
      SEC USE ONLY
3
      CITIZENSHIP OR PLACE OF ORGANIZATION
4
      Delaware
                                    SOLE VOTING POWER
                     5
     NUMBER OF
                                    0
       SHARES
                                    SHARED VOTING POWER
    BENEFICIALLY
                     6
     OWNED BY
                                    553,033
       EACH
                                    SOLE DISPOSITIVE POWER
     REPORTING
                     7
       PERSON
                                    0
WITH
                                    SHARED DISPOSITIVE POWER
                     8
                                    553,033
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
553,033
CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (See Instructions)
o
11
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
3.01%
```

**SCHEDULE 13G/A** 

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```
NAME OF REPORTING PERSONS
1
      GI Holdco LLC
      CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See
2
      Instructions)
      (a) o (b) o
      SEC USE ONLY
3
      CITIZENSHIP OR PLACE OF ORGANIZATION
4
      Delaware
                                     SOLE VOTING POWER
                     5
     NUMBER OF
                                     0
       SHARES
                                     SHARED VOTING POWER
    BENEFICIALLY
                     6
     OWNED BY
                                     553,033
       EACH
                                     SOLE DISPOSITIVE POWER
     REPORTING
                     7
       PERSON
                                     0
WITH
                                     SHARED DISPOSITIVE POWER
                     8
                                     553,033
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
553,033
CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (See Instructions)
o
11
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
3.01%
TYPE OF REPORTING PERSON (See Instructions)
```

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**SCHEDULE 13G/A** 

CUSIP No. 92912R104

3.01%

TYPE OF REPORTING PERSON (See Instructions)

NAME OF REPORTING PERSONS 1 Guggenheim Partners Investment Management Holdings, LLC CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See 2 Instructions) (a) o (b) o SEC USE ONLY 3 CITIZENSHIP OR PLACE OF ORGANIZATION 4 Delaware SOLE VOTING POWER 5 0 **NUMBER OF SHARES** SHARED VOTING POWER **BENEFICIALLY** 6 OWNED BY 553,033 **EACH** SOLE DISPOSITIVE POWER REPORTING 7 **PERSON** 0 WITH SHARED DISPOSITIVE POWER 8 553,033 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 553,033 CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (See Instructions) o 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

SCHEDULE 13G/A

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```
NAME OF REPORTING PERSONS
1
      Guggenheim Funds Services, LLC
      CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See
2
      Instructions)
      (a) o (b) o
      SEC USE ONLY
3
      CITIZENSHIP OR PLACE OF ORGANIZATION
4
      Delaware
                                     SOLE VOTING POWER
                     5
                                     0
     NUMBER OF
       SHARES
                                     SHARED VOTING POWER
    BENEFICIALLY
                     6
     OWNED BY
                                     546,926
        EACH
                                     SOLE DISPOSITIVE POWER
     REPORTING
                     7
       PERSON
                                     0
WITH
                                     SHARED DISPOSITIVE POWER
                     8
                                     546,926
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
546,926
CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (See Instructions)
o
11
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
2.98%
TYPE OF REPORTING PERSON (See Instructions)
```

**SCHEDULE 13G/A** 

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```
NAME OF REPORTING PERSONS
1
      Guggenheim Funds Distributors, LLC
      CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See
2
      Instructions)
      (a) o (b) o
      SEC USE ONLY
3
      CITIZENSHIP OR PLACE OF ORGANIZATION
4
      Delaware
                                     SOLE VOTING POWER
                      5
                                     0
     NUMBER OF
       SHARES
                                     SHARED VOTING POWER
    BENEFICIALLY
                     6
     OWNED BY
                                     546,926
        EACH
                                     SOLE DISPOSITIVE POWER
     REPORTING
                      7
       PERSON
                                     0
WITH
                                     SHARED DISPOSITIVE POWER
                      8
                                     546,926
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
546,926
CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (See Instructions)
o
11
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
2.98%
TYPE OF REPORTING PERSON (See Instructions)
```

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#### Item 1. (a) Name of Issuer:

Voya Global Advantage and Premium Opportunity Fund

#### (b) Address of Issuer's Principal Executive Offices:

7337 East Doubletree Ranch Road, STE 100, Scottsdale, AZ 85258

#### Item 2.

# (a) Name of Person Filing:

This Statement is jointly filed by Guggenheim Capital, LLC, Guggenheim Partners, LLC, GI Holdco II LLC, GI Holdco LLC, Guggenheim Partners Investment Management Holdings, LLC, Guggenheim Funds Services, LLC and Guggenheim Funds Distributors, LLC ("GFD") pursuant to Rule 13d-1(k)(1). This Statement relates to the shares of Common Stock (the "Shares"), of the Issuer beneficially owned directly by GFD, a Delaware limited liability company, and by another subsidiary of Guggenheim Capital, LLC (the "Subsidiary"). GFD acts as sponsor and supervisor of certain unit investment trusts which directly hold the Shares reported herein. In such capacity, GFD has the power to dispose or direct the disposition of the Shares held by these unit investment trusts. These Shares are voted by the trustee of such unit investment trusts so as to insure that the Shares are voted as closely as possible in the same manner and in the same general proportion as are the Shares held by owners other than such unit investment trust. Guggenheim Capital, LLC is the majority owner of Guggenheim Partners, LLC, GI Holdco II LLC, GI Holdco LLC, Guggenheim Partners Investment Management Holdings, LLC, Guggenheim Funds Services, LLC and GFD. GFD is a registered investment adviser under Section 203 of the Investment Advisers Act of 1940 and a broker dealer registered under Section 15 of the Securities and Exchange Act of 1934.

# (b) Address of Principal Business Office, or, if none, Residence:

Guggenheim Capital, LLC: 227 West Monroe Street, Chicago, IL 60606

Guggenheim Partners, LLC: 227 West Monroe Street, Chicago, IL 60606

GI Holdco II LLC: 227 West Monroe Street, Chicago, IL 60606

GI Holdco LLC: 330 Madison Avenue, New York, NY 10017

Guggenheim Partners Investment Management Holdings, LLC: 330 Madison Avenue, New York, NY 10017

Guggenheim Funds Services, LLC: 227 West Monroe Street, Chicago, IL 60606

Guggenheim Funds Distributors, LLC: 227 West Monroe Street, Chicago, IL 60606

(c) Citizenship:

Guggenheim Capital, LLC is a Delaware limited liability company.

Guggenheim Partners, LLC is a Delaware limited liability company.

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# Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

- (a) x Broker or dealer registered under section 15 of the Act (15 U.S.C. 780).
- (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c) "Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d) " Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).
- (e) x An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E).
- (f) "An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F).
- (g) x A parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G).
- (h) " A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813).
- (i) A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3).
- (j) A non-U.S. institution in accordance with §240.13d-1(b)(1)(ii)(J).

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### Item 4. Ownership

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

#### (a) Amount beneficially owned:

As of December 31, 2016, Guggenheim Capital, LLC may be deemed the beneficial owner of 553,033 Shares, which amount includes 546,926 Shares beneficially owned directly by Guggenheim Funds Distributors, LLC, and indirectly by Guggenheim Funds Services, LLC, Guggenheim Partners Investment Management Holdings, LLC, GI Holdco LLC, GI Holdco II LLC and Guggenheim Partners, LLC and 6,107 Shares beneficially owned directly by the Subsidiary.

#### (b) Percent of class:

3.01% of the Common Stock

#### (c) Number of shares as to which the person has:

# Guggenheim Capital, LLC, Guggenheim Partners, LLC, GI Holdco II LLC, GI Holdco LLC, Guggenheim Partners Investment Management Holdings, LLC,

- (i) Sole power to vote or to direct the vote: 0
- (ii) Shared power to vote or to direct the vote: 553,033
- (iii) Sole power to dispose or to direct the disposition of: 0
- (iv) Shared power to dispose or to direct the disposition of: 553,033

# Guggenheim Funds Services, LLC, Guggenheim Funds Distributors, LLC

- (i) Sole power to vote or to direct the vote: 0
- (ii) Shared power to vote or to direct the vote: 546,926

- (iii) Sole power to dispose or to direct the disposition of: 0
- (iv) Shared power to dispose or to direct the disposition of: 546,926

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#### Item 5. Ownership of Five Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than 5 percent of the class of securities, check the following x.

# Item 6. Ownership of More Than Five Percent on Behalf of Another Person

See disclosure in Item 2 herein. Certain unit investment trusts for which GFD acts as sponsor and supervisor have the right to receive or the power to direct the receipt of dividends from or the profits from the sale of the Shares reported herein.

Item 7. Identification and Classification of the Subsidiary which Acquired the Security Being Reported on by the Parent Holding Company or Control Person

See disclosure in Item 2 hereof.

Item 8. Identification and Classification of Members of the Group

Not Applicable.

**Item 9. Notice of Dissolution of Group** 

Not Applicable.

#### Item 10. Certification

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 14, 2017

Guggenheim Capital, LLC By: Robert Saperstein

By: /s/ Robert Saperstein
Name: Robert Saperstein
Title: Authorized Signatory

Guggenheim Partners, LLC By: Guggenheim Capital, LLC, parent company

By: /s/ Robert Saperstein Name: Robert Saperstein Title: Authorized Signatory

GI Holdco II LLC By: Guggenheim Capital, LLC, parent company

By: /s/ Robert Saperstein
Name: Robert Saperstein
Title: Authorized Signatory

GI Holdco LLC

By: Guggenheim Capital, LLC, parent company

By: /s/ Robert Saperstein
Name: Robert Saperstein
Title: Authorized Signatory

Guggenheim Partners Investment Management Holdings, LLC By: Guggenheim Capital, LLC, parent company

By: /s/ Robert Saperstein
Name: Robert Saperstein
Title: Authorized Signatory

Guggenheim Funds Services, LLC By: Guggenheim Capital, LLC, parent company

By: /s/ Robert Saperstein
Name: Robert Saperstein
Title: Authorized Signatory

Guggenheim Funds Distributors, LLC By: Guggenheim Capital, LLC, parent company

By: /s/ Robert Saperstein
Name: Robert Saperstein
Title: Authorized Signatory

**SCHEDULE 13G/A** 

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#### JOINT FILING AGREEMENT

The undersigned hereby agree that the statement on Schedule 13G with respect to the Common Stock of Voya Global Advantage and Premium Opportunity Fund, dated as of December 31, 2016 is, and any amendments thereto (including amendments on Schedule 13D) signed by each of the undersigned shall be, filed on behalf of each of us pursuant to and in accordance with the provisions of Rule 13d-1(k) under the Securities Exchange Act of 1934, as amended.

Dated: February 14, 2017

Guggenheim Capital, LLC By: Robert Saperstein

By: /s/ Robert Saperstein
Name: Robert Saperstein
Title: Authorized Signatory

Guggenheim Partners, LLC By: Guggenheim Capital, LLC, parent company

By: /s/ Robert Saperstein
Name: Robert Saperstein
Title: Authorized Signatory

GI Holdco II LLC By: Guggenheim Capital, LLC, parent company

By: /s/ Robert Saperstein
Name: Robert Saperstein
Title: Authorized Signatory

GI Holdco LLC

By: Guggenheim Capital, LLC, parent company

By: /s/ Robert Saperstein
Name: Robert Saperstein
Title: Authorized Signatory

Guggenheim Partners Investment Management Holdings, LLC By: Guggenheim Capital, LLC, parent company

By: /s/ Robert Saperstein
Name: Robert Saperstein
Title: Authorized Signatory

Guggenheim Funds Services, LLC By: Guggenheim Capital, LLC, parent company

By: /s/ Robert Saperstein
Name: Robert Saperstein
Title: Authorized Signatory

Guggenheim Funds Distributors, LLC By: Guggenheim Capital, LLC, parent company

By: /s/ Robert Saperstein
Name: Robert Saperstein
Title: Authorized Signatory