

Platform Specialty Products Corp  
Form 4  
March 14, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ASHKEN IAN G H

(Last) (First) (Middle)

C/O PLATFORM SPECIALTY  
PRODUCTS CORP., 5200 BLUE  
LAGOON DRIVE, SUITE 855

(Street)

MIAMI, FL 33126

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
Platform Specialty Products Corp  
[PAH]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/13/2014

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)                | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
|                                                      |                                         |                                                             | Code                                 | V                                                                   | Amount                                                                                                             | (A)<br>or<br>(D)                                                        | Price                                                             |
| Common<br>Stock, par<br>value<br>\$0.01 per<br>share | 03/13/2014                              |                                                             | X <sup>(1)</sup>                     |                                                                     | 99,993<br><sup>(2)</sup>                                                                                           | A                                                                       | \$<br>11.5                                                        |
|                                                      |                                         |                                                             |                                      |                                                                     | 701,516                                                                                                            | I                                                                       |                                                                   |

By Mariposa  
Acquisition,  
LLC <sup>(3)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control**

SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                 | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) | 6. Date Exercisable and Expiration<br>Date<br>(Month/Day/Year) | 7. Title and Amount<br>Underlying Security<br>(Instr. 3 and 4) |
|---------------------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|----------------------------------------------------------------|
| Warrant<br>(right to<br>buy)                                        | \$ 11.5                                                            | 03/13/2014                              |                                                             | X <sup>(1)</sup>                     | 299,980<br><sup>(2)</sup>                                                                                 | 05/17/2013 <sup>(4)</sup> 10/31/2016 <sup>(4)</sup>            | Common<br>Stock                                                |
| Series A<br>Preferred<br>Stock, par<br>value<br>\$0.01 per<br>share | <sup>(5)</sup>                                                     |                                         |                                                             |                                      |                                                                                                           | <sup>(5)</sup> <sup>(5)</sup>                                  | Common<br>Stock                                                |

## Reporting Owners

| Reporting Owner Name / Address                                                                                   | Relationships |           |         |       |
|------------------------------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                                                                  | Director      | 10% Owner | Officer | Other |
| ASHKEN IAN G H<br>C/O PLATFORM SPECIALTY PRODUCTS CORP.,<br>5200 BLUE LAGOON DRIVE, SUITE 855<br>MIAMI, FL 33126 | X             |           |         |       |

## Signatures

/s/ Ian G.H.  
Ashken

03/14/2014

          Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Transaction exempt pursuant to Rule 16b-6 promulgated under the Securities Exchange Act of 1934, as amended.

Represents 99,993 shares of Common Stock acquired upon the exercise of 299,980 warrants beneficially owned by reporting person. Each warrant entitles the holder to purchase one-third (1/3) of a share of Common Stock upon exercise at a price of \$11.50 per whole

(2) share of Common Stock. Warrants are only exercisable for whole shares of Common Stock. The total number of warrants beneficially owned by Mariposa Acquisition, LLC prior to exercise included 2 warrants which could not be exercised for a whole share of Common Stock and which therefore are expected to be each redeemed for \$0.01 on April 3, 2014.

## Edgar Filing: Platform Specialty Products Corp - Form 4

- (3) Represents an indirect interest held by Mariposa Acquisition, LLC.

On March 5, 2014, the Issuer announced that, in accordance with the terms of the warrant instrument governing the warrants, each

- (4) warrant will be mandatorily redeemed by the Issuer for \$0.01 per warrant on April 3, 2014, unless exercised before 5:00 p.m. on April 2, 2014.

The Series A Preferred Stock is convertible at any time at the election of the holder, on a one-for-one basis, into shares of Common Stock for no additional consideration. The Series A Preferred Stock shall automatically convert into Common Stock upon the earlier to occur of

- (5) (i) a change of control of the Issuer or (ii) December 31, 2020 (unless extended in accordance with the terms of the Series A Preferred Stock).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.