

ERICKSON INC.  
Form 4  
May 05, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
PIERSON BRIAN

(Last) (First) (Middle)

C/O ERICKSON  
INCORPORATED, 5550 SW  
MACADAM AVENUE, SUITE 200

(Street)

PORTLAND, OR 97239

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ERICKSON INC. [EAC]

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/22/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
VP, Global Defense & Security

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4) |     |       |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|-----|-------|
|                                 |                                      |  |                                | (A) or (D)  | Code  | V  | Amount  | (D) | Price |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4. Transaction | 5. Number of Derivative | 6. Date Exercisable and Expiration Date | 7. Title and Amount Underlying Securities |
|------------------------|---------------|--------------------------------------|-------------------------------|----------------|-------------------------|---|---|
|------------------------|---------------|--------------------------------------|-------------------------------|----------------|-------------------------|---|---|

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| Security (Instr. 3)         | or Exercise Price of Derivative Security | any (Month/Day/Year) | Code (Instr. 8) | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/Year) | (Instr. 3 and 4) |              |                            |
|-----------------------------|--|----------------------|-----------------|---|------------------|------------------|--------------|----------------------------|
|                             |  |                      | Code V          | (A) (D)   | Date Exercisable | Expiration Date  | Title        | Amount or Number of Shares |
| Stock Appreciation Right    | (1)                                      | 04/22/2016           | A               |   | (3)              | 04/22/2026       | Common Stock | 52,000                     |
| Stock Option (Right to Buy) | (2)                                      | 04/22/2016           | A               |   | (3)              | 04/22/2026       | Common Stock | 17,500                     |

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director    10% Owner    Officer    Other

PIERSON BRIAN  
 C/O ERICKSON INCORPORATED  
 5550 SW MACADAM AVENUE, SUITE 200  
 PORTLAND, OR 97239

VP, Global Defense & Security

## Signatures

/s/ Brian Pierson by Nichole Tennyson,  
 Attorney-in-Fact

05/05/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person received a Stock Appreciation Rights Award (SAR) granted under the Company's 2012 Long-Term Incentive Plan.
- (1) One-third (1/3) of such SAR shall have an exercise price of \$1.54, One-third (1/3) of such SAR shall have an exercise price of \$4.04, and One-third (1/3) of such SAR shall have an exercise price of \$6.54.
  - (2) The reporting person received a Nonqualified Stock Option granted under the Company's 2012 Long-Term Incentive Plan. One-third (1/3) of such stock option shall have an exercise price of \$1.54, One-third (1/3) of such stock option shall have an exercise price of \$4.04, and One-third (1/3) of such stock option shall have an exercise price of \$6.54.
  - (3) These SAR and stock options shall vest as to 20% on each of April 22, 2017, April 22, 2018, April 22, 2019, April 22, 2020, and April 22, 2021, subject to the reporting person's continuous service to the issuer through each such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.