### Edgar Filing: CONSOLIDATED WATER CO LTD - Form 4

#### CONSOLIDATED WATER CO LTD

Form 4 June 08, 2015

# FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

Form filed by More than One Reporting

See Instruction

30(h) of the Investment Company Act of 1940

Person

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BRODIE BRENT** Issuer Symbol CONSOLIDATED WATER CO (Check all applicable) LTD [CWCO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X\_ Officer (give title (Month/Day/Year) below) below) PO BOX 1114 06/04/2015 VP of Sales and Marketing (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

#### GRAND CAYMAN, E9 KY1-1102

(City)	(State) (Z	ip) Table	I - Non-De	rivative S	Securi	ties Acquir	ed, Disposed of,	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK	06/04/2015		Code V M	Amount 1,933	(D)	Price \$ 10.68	1,933	D	
COMMON STOCK	06/04/2015		S	1,933	D	\$ 12.571	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of Derivative	2.	3. Transaction Date		4.	5. Number	6. Date Exercisab	le and Expiration	7. Title and Amount	
	Security	Conversion or Exercise	(Month/Day/Year)	Execution Date, if any	Transaction Derivative Code Securities		Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)	
(Instr. 3)		Price of		(Month/Day/Year)	(Instr. 8)	Acquired				
		Derivative Security				(A) or Disposed of				
		Security				(D)				
						(Instr. 3, 4,				
						and 5)				
										An
							Date Exercisable	Expiration Date	Title	or Nu of
					Code V	(A) (D)				Sha
	OPTION (RIGHT TO BUY	\$ 10.68	06/05/2015		M	1,933	02/22/2012(1)	02/21/2017(1)	COMMON STOCK	3,

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BRODIE BRENT PO BOX 1114 GRAND CAYMAN, E9 KY1-1102

VP of Sales and Marketing

### **Signatures**

/s/ Brent Brodie 06/08/2015

\*\*Signature of Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The option vested as to 1,933 shares on each of February 22, 2012 and February 22, 2013 and as to 1,934 shares on February 22, 2014.

The option expired as to 1,933 shares on February 21, 2015 and was set to expire as to 1,933 shares on February 21, 2016 and as to 1,934 shares on February 21, 2017; however, the option was exercised as to 1,933 shares prior to February 21, 2016. The exercise price of the option equals the market price of the Company's common stock as of the close of business on the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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