ServisFirst Bancshares, Inc.

Form 3

June 04, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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January 31, 2005

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **RUSHING RODNEY** 

**ELDON** 

Statement

(Month/Day/Year) 03/17/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol ServisFirst Bancshares, Inc. [SFBS]

(Last)

(First) (Middle) 4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

850 SHADES CREEK **PARKWAY** 

(Street)

Director

\_X\_\_ Officer

(Check all applicable)

**EVP-** Correspondent Banking

10% Owner \_Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

BIRMINGHAM, ALÂ 35209

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

(I)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect

Â

(Instr. 5) D

Common Stock

40,000

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. 5. Conversion or Exercise Price of Derivative

6. Nature of Indirect Ownership Beneficial Form of Ownership

(Instr. 5)

Derivative Security:

#### Edgar Filing: ServisFirst Bancshares, Inc. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (Right to Buy)	03/21/2016(1)	03/21/2021	Common Stock	35,000	\$ 30	D	Â
Employee Stock Options (Right to Buy)	02/10/2021(2)	02/10/2024	Common Stock	2,500	\$ 41.5	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. 8	Director	10% Owner	Officer	Other		
RUSHING RODNEY ELDON 850 SHADES CREEK PARKWAY BIRMINGHAM, AL 35209	Â	Â	EVP- Correspondent Banking	Â		

## **Signatures**

/s/ Rodney E.
Rushing

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests 100% on the fifth anniversary of grant date, March 21, 2011.
- (2) The option vests 100% on the seventh anniversary of the grant date, February 10, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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