ServisFirst Bancshares, Inc. Form 4/A

February 05, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

OMB APPROVAL

Number:

3235-0287

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January 31, 2005

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SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

03/15/2013

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * Brock Stanley M.			2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer				
	<u></u>		ServisFirst Bancshares, Inc. [NONE]				(Check all applicable)					
(Last)	(First) (1	Middle)	3. Date of Earliest Transaction (Month/Day/Year)					X Director10% Owner				
P.O. BOX 11643			03/15/2013					Officer (give titleX_ Other (specify below)				
	(Street)		4. If Amendme			e Original			6. Individual or Joint/Group Filing(Check			
	•				nth/Day/Year)				Applicable Line)			
BIRMINGHAM, AL 35202				07/02/2013					_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any	emed on Date, if /Day/Year)	Code	Transaction(A) or Disposed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) Ownership (Instr. 4) 7. Nature of Beneficial Ownership (Instr. 4)				
				Code V	V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	03/15/2013			C		16,000	A	<u>(1)</u>	136,000	D		
Common Stock	06/28/2013			S		1,500	D	\$ 30 (3)	134,500	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

C

8,000

<u>(1)</u>

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
6% Preferred Securities of ServisFirst Capital Trust II	(1)	03/15/2013		С	200	(2)	03/15/2013(2)	Common Stock	8,000
6% Preferred Securities of ServisFirst Capital Trust II	(1)	03/15/2013		С	400	(2)	03/15/2013(2)	Common Stock	16,000

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Brock Stanley M. P.O. BOX 11643 BIRMINGHAM, AL 35202	X			Chairman of the Board				
Signatures								
/s/ Edison K. Woodie III, attorney-in-fact	02/05/2014							
**Signature of Reporting Person		Da	te					

Reporting Owners 2

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 6% Preferred Securities automatically and mandatorily converted into shares of ServisFirst Common Stock on March 15, 2013 at a conversion price of \$25 per share.
- The 6% Preferred Securities were convertible, in whole or in part, at any time prior to the earliest to occur of maturity, issuer redemption or mandatory conversion, at the option of the holder, into ServisFirst Common Stock at a conversion price of \$25 per share. Any 6% Preferred Securities which remained outstanding on March 15, 2013 were automatically and mandatorily converted into shares of ServisFirst Common Stock on March 15, 2013 at a conversion price of \$25 per share.
- (3) The price at which the Common Stock was transferred was reported incorrectly in the original Form 4 filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.