Scarlett Catherine Form 3 May 31, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Name and Address of Reporting     Person *     Â Scarlett Catherine			2. Date of Event Requiring Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol NBT BANCORP INC [NBTB]				
(Last)	(First)	(Middle)	05/22/2018	3	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
52 SOUTH	BROAD S	ΓREET						Thea(Month Buj Teal)	
(Street)					(Check all applicable)			6. Individual or Joint/Group	
NORWICH	NY 13,	815			Director 10% Owner Officer Other (give title below) (specify below) EVP, Chief HR & Ethics Officer			Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)		Table I - N	Non-Deriva	tive Securiti	es Be	neficially Owned	
1.Title of Secu (Instr. 4)	rity			2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr		
NBT Bancorp Inc. Common Stock				4,083.3		I	401k		
NBT Bancorp Inc. Common Stock				54,912	D Â		Â		
NBT Bancorp Inc. Common Stock				1,249.908	I NBT Bancorp ESO		Bancorp ESOP		
Reminder: Repowned directly	or indirectly.					SEC 1473 (7-02	2)		
	inform	nation conta	pond to the cained in this to	form are not	t				

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	<ol><li>Nature of Indirect</li></ol>
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Phantom Stock	(1)	(1)	NBT Bancorp Inc. Common	7,343.964	\$ <u>(1)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
rioporoung o whore runner, requiress	Director	10% Owner	Officer	Other		
Scarlett Catherine 52 SOUTH BROAD STREET NORWICH, NY 13815	Â	Â	EVP, Chief HR & Ethics Officer	Â		

# **Signatures**

By: F. Sheldon Prentice, Power of Attorney For:

05/31/2018

Catherine M. Scarlett

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of phantom stock is deemed invested in one share of NBT Bancorp Inc. common stock pursuant to the terms of the Deferred Compensation Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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