## Edgar Filing: O'Connor Timothy John - Form 4

O'Connor Timoth	y John									
Form 4										
January 04, 2018										
FORM 4	UNITED	статес	SECU	DITIES A	ND FY	CHANCE	COMMISSION	Т	PPROVAL	
		STATES		shington	N OMB Number:	3235-0287				
Check this box if no longer subject to Section 16. Form 4 or		IENT OF	WNERSHIP OF	Estimated burden hou	urs per					
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type Respon	nses)									
1. Name and Address O'Connor Timoth	Person <u>*</u>	Symbol	er Name <b>and</b> ENERGY		-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (	First) (1	Middle)	3. Date c	of Earliest T	ransaction	l	(Chi	ek all applicabl	6)	
414 NICOLLET		(Month/Day/Year) 01/02/2018				Director 10% Owner X Officer (give title Other (specify below) below) Senior VP and CNO				
(	4. If Amendment, Date Original Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>					
MINNEAPOLIS	, MN 55401						Person	More than One R	eporting	
(City) (	State)	(Zip)	Tab	le I - Non-l	Derivative	e Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
	insaction Date th/Day/Year)	Execution any	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	l (A) or l of (D)	Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report on	a separate line	for each cla	ass of sec	urities bene	-	-	-			
					infor requi	nation cont red to resp ays a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	nof Derivative	Expiration Date	Underlying Securities	D
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	S

(Instr. 3)	Price of Derivative Security	Derivative		(Instr. 3	Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)						
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	01/02/2018		А		3,661		(2)	12/31/2020	Common Stock	3,661

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
O'Connor Timothy John 414 NICOLLET MALL MINNEAPOLIS, MN 55401			Senior VP and CNO				
Signatures							
Tara M. Stoffel, Attorney in Fa O'Connor	01/04/2018						
<u>**</u> Signature of Reporti	ng Person		Date				
Evolopation of Do	onon	0001					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Upon vesting, each restricted stock unit may be settled in one share of Xcel Energy common stock or in the cash value thereof, or a combination of cash and shares, in the discretion of the Governance, Compensation and Nominating Committee.
- (2) Award vests on Dec. 31, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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