

Guthrie Michael
Form 4
August 02, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Guthrie Michael

(Last) (First) (Middle)
C/O TRUECAR, INC., 120
BROADWAY, SUITE 200
(Street)

SANTA MONICA, CA 90401

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
TrueCar, Inc. [TRUE]

3. Date of Earliest Transaction
(Month/Day/Year)
07/31/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
CFO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 07/31/2017 | | M | | 42,761 | A | \$ 7.92 |
| Common Stock | 07/31/2017 | | M | | 51,986 | A | \$ 8.88 |
| Common Stock | 07/31/2017 | | S ⁽¹⁾ | | 94,747 | D | \$ 18.7732 (2) |
| Common Stock | 08/01/2017 | | M | | 42,806 | A | \$ 8.88 |
| Common Stock | 08/01/2017 | | M | | 47,499 | A | \$ 9.255 |

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| | | | | | | | | |
|--------------|------------|--|------------------|---------|---|-----------------------------|---------|---|
| Common Stock | 08/01/2017 | | M | 20,167 | A | \$ 9.255 | 458,178 | D |
| Common Stock | 08/01/2017 | | S ⁽¹⁾ | 110,472 | D | \$ 18.8358 <u>(3)</u> | 347,706 | D |
| Common Stock | 08/02/2017 | | M | 84,833 | A | \$ 9.255 | 432,539 | D |
| Common Stock | 08/02/2017 | | S ⁽¹⁾ | 84,833 | D | \$ 18.3122 <u>(4)</u> | 347,706 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 7.92 | 07/31/2017 | | M | 42,761 | <u>(5)</u> 06/26/2023 | Common Stock 42,761 |
| Employee Stock Option (right to buy) | \$ 8.88 | 07/31/2017 | | M | 51,986 | <u>(6)</u> 10/22/2023 | Common Stock 51,986 |
| Employee Stock Option (right to buy) | \$ 8.88 | 08/01/2017 | | M | 42,806 | <u>(6)</u> 10/22/2023 | Common Stock 42,806 |
| | \$ 9.255 | 08/01/2017 | | M | 47,499 | <u>(7)</u> 02/07/2024 | 47,499 |

| | | | | | | | | | |
|--|----------|------------|---|--------|------------|------------|-----------------|-----------------|--|
| Employee Stock Option (right to buy) | | | | | | | | Common Stock | |
| Employee Stock Option (right to buy) | \$ 9.255 | 08/01/2017 | M | 20,167 | <u>(5)</u> | 02/28/2024 | Common Stock | 20,167 | |
| Employee Stock Option (right to buy) | \$ 9.255 | 08/02/2017 | M | 84,833 | <u>(5)</u> | 02/28/2024 | Common Stock | 84,833 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Guthrie Michael C/O TRUECAR, INC. 120 BROADWAY, SUITE 200 SANTA MONICA, CA 90401 | | | CFO | |

Signatures

/s/ Scott Watkinson, by Power of Attorney
08/02/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 12, 2017.
The reported price in Column 4 is weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.55 to \$19.00 per share, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2), (3) and (4) to this Form 4.
 - (2) The reported price in Column 4 is weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.72 to \$19.02 per share, inclusive.
 - (3) The reported price in Column 4 is weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.05 to \$18.69 per share, inclusive.
 - (5) The shares subject to the option are fully vested and immediately exercisable.
 - (6) The shares subject to the option vest in forty-eight (48) equal monthly installments beginning on February 1, 2014.
 - (7) The shares subject to the option vest in forty-eight (48) equal monthly installments beginning on March 7, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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