#### Edgar Filing: MCDONALDS CORP - Form 3

MCDONALDS CORP

Form 3

September 30, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Erlinger Joseph M.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

09/20/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

MCDONALDS CORP [MCD]

(Check all applicable)

(give title below) (specify below)

President, High Growth Markets

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

MCDONALD'S

1. Title of Security

(Instr. 4)

CORPORATION, Â 2915 JORIE

BLVD.

(Street)

Director \_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

OAK BROOK. ILÂ 60523

(City) (State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

3. Ownership

4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect

(I) (Instr. 5)

Â Common Stock 1,496 D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

Price of Derivative Security:

(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Options (Right to Buy)	(1)	02/11/2019	Common Stock	5,150	\$ 57.08	D	Â
Options (Right to Buy)	(1)	02/10/2020	Common Stock	5,643	\$ 63.25	D	Â
Options (Right to Buy)	(1)	02/09/2021	Common Stock	6,638	\$ 75.93	D	Â
Options (Right to Buy)	(1)	02/08/2022	Common Stock	8,396	\$ 100.05	D	Â
Options (Right to Buy)	(1)	02/13/2023	Common Stock	6,649	\$ 94	D	Â
Options (Right to Buy)	(1)	02/12/2024	Common Stock	6,586	\$ 94.89	D	Â
Options (Right to Buy)	(1)	02/12/2024	Common Stock	791	\$ 94.89	D	Â
Options (Right to Buy)	(1)	03/16/2025	Common Stock	8,324	\$ 97.15	D	Â
Options (Right to Buy)	(1)	02/11/2026	Common Stock	7,853	\$ 116.73	D	Â
Restricted Stock Units	02/12/2017	02/12/2017	Common Stock	1,318	\$ <u>(2)</u>	D	Â
Restricted Stock Units	03/16/2018	03/16/2018	Common Stock	1,416	\$ (2)	D	Â
Restricted Stock Units	03/16/2018	03/16/2018	Common Stock	1,545	\$ (2)	D	Â
Restricted Stock Units	03/16/2018	03/16/2018	Common Stock	1,030	\$ <u>(2)</u>	D	Â
Restricted Stock Units	02/11/2019	02/11/2019	Common Stock	1,178	\$ <u>(2)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other		
Erlinger Joseph M.						
MCDONALD'S CORPORATION	â	Â	President, High Growth Markets	â		
2915 JORIE BLVD.	A	А	A Flesidelli, High Glowth Markets	A		
OAK BROOK, IL 60523						

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# **Signatures**

Jeffrey J. Pochowicz, Attorney-in-fact

09/30/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options become exercisable in 25% increments on the first, second, third and fourth anniversary dates of the grant.
  - Each restricted stock unit ("RSU") represents a right to acquire one share of McDonald's Corporation common stock. Upon vesting,
- payout under the RSUs will be in the form of shares or, at the discretion of the Compensation Committee of the Board of Directors, the cash value thereof. No dividend, voting or other shareholder rights attach to the RSUs until they vest and only if the payout upon vesting is in shares of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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