

Emergent BioSolutions Inc.
Form 4
March 09, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
White Marvin L

(Last) (First) (Middle)

**400 PROFESSIONAL DR, SUITE
400**

(Street)

GAITHERSBURG, MD 20879

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Emergent BioSolutions Inc. [EBS]

3. Date of Earliest Transaction
(Month/Day/Year)
03/07/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock ⁽¹⁾	03/07/2016		M	10,800 A	\$ 15.75	41,100	D
Common Stock ⁽¹⁾	03/07/2016		S	10,800 D	\$ 35.42 ⁽⁶⁾	30,300	D
Common Stock ⁽²⁾	03/07/2016		M	7,200 A	\$ 22.02	37,500	D
Common Stock ⁽²⁾	03/07/2016		S	7,200 D	\$ 35.42 ⁽⁶⁾	30,300	D
	03/07/2016		M	7,200 A		37,500	D

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Common Stock ⁽³⁾					\$ 14.66		
Common Stock ⁽³⁾	03/07/2016	S	7,200	D	\$ 35.42 ⁽⁶⁾	30,300	D
Common Stock ⁽⁴⁾	03/07/2016	M	4,800	A	\$ 14.25	35,100	D
Common Stock ⁽⁴⁾	03/07/2016	S	4,800	D	\$ 35.42 ⁽⁶⁾	30,300	D
Common Stock ⁽⁵⁾	03/07/2016	M	3,134	A	\$ 20.44	33,434	D
Common Stock ⁽⁵⁾	03/07/2016	S	3,134	D	\$ 35.42 ⁽⁶⁾	30,300	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Options ⁽¹⁾	\$ 15.75	03/07/2016		M	10,800	⁽⁷⁾ 05/31/2020	Common Stock	10,800
Stock Options ⁽²⁾	\$ 22.02	03/07/2016		M	7,200	⁽⁸⁾ 05/18/2021	Common Stock	7,200
Stock Options ⁽³⁾	\$ 14.66	03/07/2016		M	7,200	⁽⁹⁾ 05/16/2022	Common Stock	7,200
	\$ 14.25	03/07/2016		M	4,800	⁽¹⁰⁾ 05/22/2023		4,800

Stock Options (4)								Common Stock	
Stock Options (5)	\$ 20.44	03/07/2016		M	3,134	(11)	05/21/2024	Common Stock	3,134

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
White Marvin L 400 PROFESSIONAL DR SUITE 400 GAITHERSBURG, MD 20879	X			

Signatures

/s/ Eric Burt,
attorney-in-fact

03/09/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of an option granted under the company's stock incentive plan on June 1, 2010.
- (2) Consists of an option granted under the company's stock incentive plan on May 19, 2011.
- (3) Consists of an option granted under the company's stock incentive plan on May 17, 2012.
- (4) Consists of an option granted under the company's stock incentive plan on May 23, 2013.
- (5) Consists of an option granted under the company's stock incentive plan on May 22, 2014.
- (6) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$35.16 to \$35.80, inclusive.
- (7) The option vested in three equal installments in June 2011, 2012 and 2013.
- (8) The option vested in three equal installments in May 2012, 2013 and 2014.
- (9) The option vested in three equal installments in May 2013, 2014 and 2015.
- (10) The option vest in three equal installments in May 2014, 2015 and 2016.
- (11) The option vest in three equal installments in May 2015, 2016 and 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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