Sound Financial Bancorp, Inc.

Form 4/A

February 29, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

burden hours per response... 0.5

Estimated average

5. Relationship of Reporting Person(s) to

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Deines Matthew P			Symbol				ng	Issuer			
			Sound F [SFBC]	inancial I	Bancorp	Inc.		(Chec	ck all applicable	)	
(Last)	·			of Earliest Transaction Day/Year)				Director 10% OwnerX_ Officer (give title Other (specify below)			
2005 FIFTH AVE, SUITE 200			01/28/2015					EVP / CFO			
(Street) SEATTLE, WA 98121			4. If Amendment, Date Original Filed(Month/Day/Year) 01/28/2015					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person _Form filed by More than One Reporting			
								Person			
(City)	(State) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	r) Execution	med on Date, if Day/Year)	3. Transactio Code (Instr. 8)	4. Securin(A) or Do (Instr. 3,	ispose 4 and (A) or	d of (D) 5)  Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	01/28/2015			A	1,167	A	\$ 18.36	17,105	D		
Common Stock								12,015	I	401(k)	
Common Stock								174	I	UTMA	
Common Stock								4,941	I	ESOP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: Sound Financial Bancorp, Inc. - Form 4/A

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SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number coof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 18.36	01/28/2015		A	3,190	<u>(4)</u>	01/28/2025	Common Stock	3,190
Stock Option (Right to Buy)	\$ 18.36	01/28/2015		A	8,502	<u>(5)</u>	01/28/2025	Common Stock	8,502
Stock Option (Right to Buy)	\$ 9.72					<u>(1)</u>	01/27/2019	Common Stock	2,006
Stock Option (Right to Buy)	\$ 8.49					(2)	02/28/2022	Common Stock	4,371
Stock Option (Right to Buy)	\$ 16.8					(3)	01/23/2024	Common Stock	18,073

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Deines Matthew P			EVP/CFO				
2005 FIFTH AVE, SUITE 200							

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SEATTLE, WA 98121

#### **Signatures**

/s/ Matthew P.
Deines

02/29/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest in five equal annual installments beginning on January 27, 2010
- (2) Options vest in five equal annual installments beginning on February 28, 2013
- (3) Options vest in five equal annual installments beginning on January 23, 2015
- (4) Options vest in three equal annual installments beginning on January 28, 2015.
- (5) Options vest in five equal annual installments beginning on January 28, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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