AGCO CORP/DE Form 4

October 14, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

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response...

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* SRINIVASAN MALLIKA

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

(Zip)

AGCO CORP /DE [AGCO] 3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year)

10/13/2014

X\_\_ Director X 10% Owner \_ Other (specify Officer (give title

TRACTORS & FARM EQUIPMENT LTD OLD NO. 35, NEW NO. 77, NUNGAMBAKKAM HIGH ROAD

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

CHENNAI, K7 600 034

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired (A)	5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction	or Disposed of (D)	Securities	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)		Owned	Direct (D)	Ownership
					Following	or Indirect	(Instr. 4)
				( ) \	Reported	(I)	
				(A)	Transaction(s)	(Instr. 4)	

(A) Transaction(s) or

(Instr. 3 and 4)

Code V Amount (D) Price

Common Stock	10/13/2014	P	100,000 (1)	A	\$ 42.84 (2)	7,532,950 (3)	Ι
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and Farm Equipment Limited

By Tractors

Common

2,803,321 (4) I Stock

Motors and **Tractors** Limited

By TAFE

Common

 $6,579 \frac{(5)}{1}$ D Stock

## Edgar Filing: AGCO CORP /DE - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities		ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own
	Security			Acquired (A) or Disposed of (D) (Instr. 3,						Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationships					
Reporting Owner Funder Frances	Director	10% Owner	Officer	Other			
SRINIVASAN MALLIKA TRACTORS & FARM EQUIPMENT LTD OLD NO. 35 NEW NO. 77, NUNGAMBAKKAM HIGH ROAD CHENNAI, K7 600 034	X	X					
Tractors & Farm Equipment Ltd OLD NO. 35, NEW NO. 77 NUNGAMBAKKAM HIGH ROAD CHENNAI, K7 600 034		X					
TAFE Motors & Tractors Ltd OLD NO. 35, NEW NO. 77 NUNGAMBAKKAM HIGH ROAD CHENNAI, K7 600 034		X					

# **Signatures**

/s/ Margaret R. Markman, Attorney-in-Fact for Tractors and Farm Equipment Limited				
**Signature of Reporting Person	Date			
/s/ Margaret R. Markman, Attorney-in-Fact for Mallika Srinivasan				
**Signature of Reporting Person	Date			

Reporting Owners 2 /s/ Margaret R. Markman, Attorney-in-Fact for TAFE Motors and Tractors Limited

10/14/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were purchased pursuant to a Rule 10b5-1 trading plan entered into by Tractors and Farm Equipment Limited ("TAFE") and TAFE Motors and Tractors Limited ("TMTL") on August 29, 2014.
- The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$42.41 to \$43.11. The reporting persons undertake to provide to AGCO Corporation, any security holder of AGCO Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote (2).
  - These shares are owned directly by TAFE. Mallika Srinivasan is an officer of TAFE and is a beneficial owner of certain equity securities of TAFE. Ms. Srinivasan disclaims beneficial ownership of the shares reported in Column 5 of the row except to the extent of Ms.
- (3) Srinivasan's beneficial ownership of equity securities of TAFE, and this report shall not be deemed an admission that Ms. Srinivasan is the beneficial owner of such shares for purposes of Section 16 or for any other purpose. TMTL also disclaims beneficial ownership of the shares reported in Column 5 of the row, and this report shall not be deemed an admission that TMTL is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.
  - These shares are owned directly by TMTL, which is a wholly owned subsidiary of TAFE. TAFE is an indirect beneficial owner of these shares. Mallika Srinivasan is an officer of TMTL and is a beneficial owner of certain equity securities of TMTL. Ms. Srinivasan disclaims
- (4) beneficial ownership of the shares reported in Column 5 of this row except to the extent of Ms. Srinivasan's beneficial ownership of equity securities of TMTL, and this report shall not be deemed an admission that Ms. Srinivasan is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.
- These shares reflect awards to Mallika Srinivasan for her services as a director of AGCO Corporation under the AGCO Corporation 2006

  Long-Term Stock Incentive Plan. TAFE and TMTL disclaim beneficial ownership of the shares reported in Column 5 of this row, and this report shall not be deemed an admission that either TAFE or TMTL is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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