#### VOCERA COMMUNICATIONS, INC.

Form 4 June 03, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287 January 31,

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: 2005
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0.5

response...

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * DUFFY MARY BRIDGET |  |  | 2. Issuer Name and Ticker or Trading Symbol VOCERA COMMUNICATIONS, INC. [VCRA]  |  |               |  |  | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)                                    |   |  |  |
|--|--|--|---|--|---------------|--|--|--|---|--|--|
| COMMUN   | (Last) (First) (Middle) 3. Date of (Month/D) O VOCERA 05/31/20 OMMUNICATIONS, INC., 525 ACE STREET |  |   |  |               |  |  | Director 10% Owner Other (specify below)   |   |  |  |
|  |  |  |   | If Amendment, Date Original iled(Month/Day/Year) |               |  |  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person               |   |  |  |
| SAN JOSE,  | CA 95126   |  |   |  |               | Form filed by More than One Reporting Person |  |  |   |  |  |
| (City)   | (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned     |  |   |  |               |  |  | ally Owned   |   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                         | 2. Transaction Date (Month/Day/Year)  2A. Deemed Execution Date, if any (Month/Day/Year)           |  | 3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)  (A) or Code V Amount (D) Price |  |               |  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. 7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4) (Instr. 4) |   |  |  |
| Common<br>Stock  | 05/31/2014   |  |   | F <u>(1)</u>                                     | 2,247         | D  | <u>(1)</u>   | 15,228   | D |  |  |
| Common<br>Stock  | 06/01/2014   |  |   | A  | 19,551<br>(2) | A  | \$0  | 34,779   | D |  |  |
| Common<br>Stock  |  |  |   |  |               |  |  | 283  | I | By DS<br>Consulting<br>Associates<br>LLC |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 12.92  | 06/01/2014                           |   | A                                      | 46,382  | (3)  | 05/31/2024         | Common<br>Stock   | 46,382                              |

#### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DUFFY MARY BRIDGET C/O VOCERA COMMUNICATIONS, INC. 525 RACE STREET SAN JOSE, CA 95126

CHIEF MEDICAL OFFICER

#### **Signatures**

/s/ M. Bridget Duffy by Jay Spitzen, Attorney-in-Fact

06/03/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Exempt transaction pursuant to Section 16b-3(e) Payment of exercise price or tax liability by delivering or withholding securities
- incident to the receipt, exercise or vesting of a security issued in accordance with Rule 16b-3. All of the shares reported as disposed of in (1) this Form 4 were relinquished by the Reporting Person and cancelled by the Issuer in exchange for the Issuer's agreement to pay federal and state tax withholding obligations of the Reporting Person resulting from the vesting of shares of restricted stock units. The Reporting Person did not sell or otherwise dispose of any of the shares reported on this Form 4 for any reason other than to cover required taxes.
- (2) Represents a restricted stock unit award that vests in three equal annual installments commencing on June 1, 2015.
- (3) The stock option vests as to 1/48th of the total number of shares in equal monthly installments commencing on July 1, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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