Edgar Filing: SYNERGETICS USA INC - Form 4

SYNERGI	ETICS USA INC										
Form 4											
December											
FOR	M 4 UNITED	STATES	SECU	RITIES	AND EX	CHANGE		NT	PPROVAL		
		DIMIL		ashington				N OMB Number:	3235-0287		
	this box			0	-			Expires:	January 31,		
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							Estimated	2005 average			
Section	n 16.			SECUI	RITIES			burden ho	~		
Form 4 Form 5	_		a	1(()) (1)	с ·	response	. 0.5				
obligat	iona Pileu pui						nge Act of 1934, of 1935 or Secti				
-	ontinue.			•	•	ny Act of 1		OII			
See Ins 1(b).	struction	50(11)	or the r	in vestimen	t Compu		210				
(Print or Typ	e Responses)										
1. Name and	Address of Reporting	Person *	2. Issu	er Name an	d Ticker or	r Trading	5. Relationship	of Reporting Per	rson(s) to		
GUARCH			Symbol			8	Issuer				
			SYNE	RGETICS	S USA IN	IC [SURG	G] (Chash all angliaghta)				
(Last)	(First) (Middle)	3. Date	of Earliest T	ransaction		(Chi	eck all applicabl	e)		
			(Month/	/Day/Year)			X Director 10% Owner				
	ERGETICS USA,		12/13/	12/13/2013			Officer (giv below)	ve title Oth below)	ner (specify		
INC., 384 DRIVE	5 CORPORATE C	ENTRE					· · · · · ,				
	(Street)		4. If Am	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
			Filed(M	onth/Day/Yea	ur)		Applicable Line)				
							X Form filed by Form filed by	One Reporting P More than One R			
O'FALLC	N, MO 63368						Person		sporting		
(City)	(State)	(Zip)	Tal	ble I - Non-	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
1.Title of	2. Transaction Date			3.	4. Securit		5. Amount of	6. Ownership	7. Nature of		
Security (Instr. 3)	(Month/Day/Year)			Transactio Code	nAcquired Disposed		Securities Beneficially	Form: Direct (D) or Indirect	Indirect Beneficial		
(1130.5)		any (Month/D			Disposed of (D) (Instr. 3, 4 and 5)		Owned	(I) or maneet	Ownership		
							Following	(Instr. 4)	(Instr. 4)		
						(A)	Reported Transaction(s)				
				Cala V	A	or (D) Drive	(Instr. 3 and 4)				
				Code v	Amount	(D) Price					
Reminder: R	eport on a separate line	e for each c	lass of sec	curities bene	-		-				
							spond to the colle ained in this forn		SEC 1474 (9-02)		
							ond unless the fo		(9-02)		
							ntly valid OMB co	ontrol			
					numb	ber.					
	Tab						Beneficially Owner	d			
		(e.g.,	puts, cal	ls, warrant	s, options,	convertible	securities)				
1 Title of	2 2 T	nation D-t	24 D-	amad	4	5 Mumber	of 6 Data Evana		7 Title and Ama		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	iorDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			(Instr. 3 and 4)		S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock option (right to buy)	\$ 3.46	12/13/2013		А	10,000	<u>(1)</u>	12/13/2023	Common Stock	10,000	
Reporting Owners										

Reporting Owner Name / Address		Relationships					
FB	Director	10% Owner	Officer	Other			
GUARCH GUY R C/O SYNERGETICS USA, INC. 3845 CORPORATE CENTRE DRIVE O'FALLON, MO 63368	х						
Signatures							
/s/ Guy R. 12/17/2013 Guarch	3						

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in four equal quarterly installments, with the first installment vesting three months from the date of grant.
- (2) The stock option was granted pursuant to the Amended and Restated Synergetics USA, Inc. 2005 Non-Employee Directors' Stock Option Plan, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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