### Edgar Filing: ARMSTRONG WORLD INDUSTRIES INC - Form 4

ARMSTRONG WORLD INDUSTRIES INC Form 4 November 06, 2013

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Armstrong World Industries, Inc. Issuer Symbol Asbestos Personal Injury Settlement ARMSTRONG WORLD (Check all applicable) Trust **INDUSTRIES INC [AWI]** (Last) (First) (Middle) 3. Date of Earliest Transaction Director \_X\_\_ 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 818 N. WASHINGTON ST. 11/04/2013 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

#### WILMINGTON, DE 19801

(City)	(State)	(Zip) Tak	ole I - Non	-Derivative Se	curitie	es Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	a Date, if Transaction Disposed of (D) Code (Instr. 3, 4 and 5) Pay/Year) (Instr. 8)			red (A)	5. Amount of Securities Beneficially Owned Following Reported	Ownership	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/04/2013		Code V $C^{(1)}$	Amount 1,039,777 (1)	(A) or (D) D	Price \$ 22.31	Transaction(s) (Instr. 3 and 4) 15,009,876	(Instr. 4) D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Person

Form filed by More than One Reporting

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Forward Sale Contract (obligation to sell) (1)	<u>(1)</u>	11/04/2013		C <u>(1)</u>		1,039,777	<u>(1)</u>	<u>(1)</u>	Common Stock	1,039,7

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Armstrong World Industries, Inc. Asbestos Personal Injury Settlement Trust 818 N. WASHINGTON ST. WILMINGTON, DE 19801		Х				
Signatures						
/s/Edward E. Steiner, attorney-in-fact for Armstrong World Industries, Inc. As Personal Injury Settlement Trust	bestos		11/	06/2013		
<u>**</u> Signature of Reporting Person				Date		

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On November 4, 2013, the reporting person settled a prepaid forward sale contract with TPG Partners V, L.P. and TPG Partners VI, L.P. (collectively, "TPG"). The forward sale contract obligated the reporting person to deliver to TPG cash (or elect to deliver 1,039,777

 (1) shares) on the settlement date of the forward sale contract. In exchange for assuming this obligation, the reporting person received \$23,197,425 (determined by multiplying 1,039,777 shares by the per share sale price of \$22.31 under the related Purchase and Sale Agreement).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.