Edgar Filing: ADAMS P. BRADLEY - Form 5

Washington, D.C. 20549

OWNERSHIP OF SECURITIES

ADAMS P. BRAD	LEY
Form 5	
January 13, 2012	
FORM 5	
	UNITED
Check this box if	

no longer subject

to Section 16.

5 obligations

Form 4 or Form

OMB APPROVAL OMB STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: January 31, Expires: 2005 Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL burden hours per

1.0

may continue.		response
Form 3 Holdings Section 17(a) of the	Section 16(a) of the Securities Exchang Public Utility Holding Company Act o of the Investment Company Act of 194	f 1935 or Section
1. Name and Address of Reporting Person <u>*</u> ADAMS P. BRADLEY (Last) (First) (Middle)	 Issuer Name and Ticker or Trading Symbol TORTOISE POWER & ENERGY INFRASTRUCTURE FUND INC [TPZ] Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 11/30/2011 	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <u>Director</u> 10% Owner <u>X</u> Officer (give title Other (specify below) Chief Financial Officer
11550 ASH STREET, SUITE 300		
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting (check applicable line)

LEAWOOD, KSÂ 66211

X Form Filed by One Reporting Person Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Tab	le I - Non-Der	rivative Se	curiti	es Acquir	ed, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi (A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	05/02/2011	Â	L	3.585 (1)	A	\$ 25.59	2,017.265	D	Â
Common Shares	06/01/2011	Â	L	3.654 (1)	А	\$ 25.23	2,017.265	D	Â
Common Shares	07/01/2011	Â	L	3.727 (1)	А	\$ 24.86	2,017.265	D	Â
Common	08/01/2011	Â	L	3.881	А	\$	2,017.265	D	Â

Edgar Filing: ADAMS P. BRADLEY - Form 5

Shares				(1)		23.99		
Common Shares	09/01/2011	Â	L	3.851 (1)	A	\$ 24.3 2,017.265	D	Â
Common Shares	10/03/2011	Â	L	4.212 (1)	A	\$ 22.33 2,017.265	D	Â
Common Shares	11/01/2011	Â	L	3.981 (1)	А	\$ 23.76 2,017.265	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. of D So B O E I S Fi (I
				(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Add	ress	Relationships							
		10% Owner	Officer	Other					
ADAMS P. BRADLEY 11550 ASH STREET SUITE 300 LEAWOOD, KS 66211	Â	Â	Chief Financial Officer	Â					
Signatures									
/s/ P. Bradley Adams	01/11/2012								
**Signature of Reporting Person	Date								

(9-02)

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic reinvestment of distribution by broker not participating in the Tortoise Power and Energy Infrastructure Fund, Inc. dividend reinvestment plan. This transaction is being reported on Form 5 pursuant to Rule 16a-6.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.