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NORWOOD FINANCIAL CORP

Form 3

January 03, 2012

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement NORWOOD FINANCIAL CORP [NWFL] CARMODY JOHN F (Month/Day/Year) 01/03/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 717 MAIN STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person HONESDALE, Â PAÂ 18431 (give title below) (specify below) Form filed by More than One Senior Vice President Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Ι Common Stock 4,112 **ESOP** Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		Securities U	3. Title and Amount of Securities Underlying Derivative Security		5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Price of Derivative Security	Security: Direct (D) or Indirect	(mon. 3)	

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				Shares		(I) (Instr. 5)	
Options Right-to-Buy	12/10/2003	12/10/2012	Common Stock	788	\$ 19.05	D	Â
Options Right-to-Buy	12/09/2004	12/09/2013	Common Stock	1,050	\$ 23.95	D	Â
Options Right-to-Buy	12/14/2005	12/14/2014	Common Stock	1,050	\$ 30	D	Â
Options Right-to-Buy	04/25/2007	04/25/2016	Common Stock	1,575	\$ 30.38	D	Â
Options Right-to-Buy	12/29/2007	12/29/2016	Common Stock	1,000	\$ 31.5	D	Â
Options Right-to-Buy	12/31/2008	12/31/2017	Common Stock	1,000	\$ 31.25	D	Â
Options Right-to-Buy	12/31/2009	12/31/2018	Common Stock	1,000	\$ 27.5	D	Â
Options Right-to-Buy	12/31/2010	12/31/2019	Common Stock	1,000	\$ 28.59	D	Â
Options Right-to-Buy	12/31/2011	12/31/2020	Common Stock	1,000	\$ 27.77	D	Â
Options Right-to-Buy	12/31/2012	12/31/2021	Common Stock	1,000	\$ 27.47	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
r	Director	10% Owner	Officer	Other		
CARMODY JOHN F 717 MAIN STREET HONESDALE, PA 18431	Â	Â	Senior Vice President	Â		

Signatures

/s/ John F.
Carmody

**Signature of Reporting Person

O1/03/2012

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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