#### SCHULMAN A INC

Form 4

January 18, 2007

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

**OMB APPROVAL** 

3235-0287

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January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading D.B. ZWIRN & CO., L.P. Issuer Symbol SCHULMAN A INC [SHLM] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Officer (give title \_\_X\_\_ Other (specify 745 FIFTH AVENUE,, 18TH 01/16/2007 below) below) **FLOOR** See Remarks (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting NEW YORK, NY 10151 Person

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	01/16/2007		P	759	A		9,389 (1) (2) (3)	I (1) (2) (3)	By D.B Zwirn Special Opportunities Fund, L.P. (1) (2) (3)		
Common Stock	01/16/2007		P	1,091	A	\$ 20.7533	63,049 (1) (2) (3)	I (1) (2) (3)	By D.B. Zwirn Special Opportunities Fund, Ltd. (1) (2) (3)		
Common Stock	01/17/2007		P	531	A	\$ 20.7184	9,920 (1) (2) (3)	I (1) (2) (3)	By D.B. Zwirn Special		

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								Opportunities Fund, L.P. (1) (2) (3)
Common Stock	01/17/2007	P	764	A	\$ 20.7184	63,813 (1) (2) (3)	I (1) (2) (3)	By D.B. Zwirn Special Opportunities Fund, Ltd. (1) (2) (3)
Common Stock						29,412 (1) (2) (3)	I (1) (2) (3)	By HCM/Z Special Opportunities LLC (1) (2) (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D)		ate	Amou Under Securi	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
			Code V	(Instr. 3, 4, and 5)  (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

D.B. ZWIRN & CO., L.P. 745 FIFTH AVENUE, 18TH FLOOR NEW YORK, NY 10151

See Remarks

 $\hbox{D.B. ZWIRN SPECIAL OPPORTUNITIES FUND, L.P.}\\$ 

See Remarks

745 FIFTH AVENUE

Reporting Owners 2

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18TH FLOOR

NEW YORK, NY 10151

HCM/Z Special Opportunities LLC

745 FIFTH AVENUE

18TH FLOOR See Remarks

NEW YORK, NY 10151

DBZ GP, LLC

745 FIFTH AVENUE

18TH FLOOR See Remarks

NEW YORK, NY 10151

ZWIRN HOLDINGS, LLC

745 FIFTH AVENUE

18TH FLOOR See Remarks

NEW YORK, NY 10151

ZWIRN DANIEL B

745 FIFTH AVENUE
See Remarks

18TH FLOOR

NEW YORK, NY 10151

D.B. ZWIRN SPECIAL OPPORTUNITIES FUND, LTD.

C/O HIGHBRIDGE CAPITAL CORPORATION

CORPORATE CENTRE, 4TH FLOOR

27 HOSPITAL ROAD, GRAND CAYMAN, E9

## **Signatures**

D.B. ZWIRN SPECIAL OPPORTUNITIES FUND, L.P., By: D.B. ZWIRN PARTNERS,

LLC, its general partner, By: ZWIRN HOLDINGS, LLC, its managing member, By: /s/

Daniel B. Zwirn, its managing member

\*\*Signature of Reporting Person Date

D.B. ZWIRN SPECIAL OPPORTUNITIES FUND, LTD., By: D.B. Zwirn & Co., L.P., its

manager, By: DBZ GP, LLC, its general partner, By: Zwirn Holdings, LLC, its managing
01/18/2007

member, By: /s/ Daniel B. Zwirn, its managing member

\*\*Signature of Reporting Person Date

HCM/Z SPECIAL OPPORTUNITIES LLC, By: D.B. Zwirn & Co., L.P., its manager, By:

DBZ GP, LLC, its general partner, By: Zwirn Holdings, LLC, its managing member, By: /s/
Daniel B. Zwirn, its managing member

01/18/2007

\*\*Signature of Reporting Person Date

D.B. ZWIRN & CO., L.P., By: DBZ GP, LLC, its general partner, By: Zwirn Holdings, LLC,

its managing member, By: /s/ Daniel B. Zwirn, its managing member 01/18/2007

\*\*Signature of Reporting Person Date

See Remarks

01/18/2007

DBZ GP, LLC, By: Zwirn Holdings, LLC, its managing member, By: /s/ Daniel B. Zwirn, its

managing member 01/18/2007

\*\*Signature of Reporting Person Date

ZWIRN HOLDINGS, LLC, By: /s/ Daniel B. Zwirn, its managing member

Signatures 3

### Edgar Filing: SCHULMAN A INC - Form 4

\*\*Signature of Reporting Person

Date

/s/ Daniel B. Zwirn

01/18/2007

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes shares owned directly by D.B. Zwirn Special Opportunities Fund, L.P., D.B. Zwirn Special Opportunities Fund, Ltd. and HCM/Z Special Opportunities LLC. As the manager of D.B. Zwirn Special Opportunities Fund, L.P., D.B. Zwirn Special Opportunities Fund, Ltd. and HCM/Z Special Opportunities LLC, D.B. Zwirn & Co., L.P. may be deemed to beneficially own the shares of Common
- (1) Stock beneficially owned by D.B. Zwirn Special Opportunities Fund, L.P., D.B. Zwirn Special Opportunities Fund, Ltd. and HCM/Z Special Opportunities LLC. As the general partner of D.B. Zwirn & Co., L.P., DBZ GP, LLC may be deemed to beneficially own the shares of Common Stock beneficially owned by D.B. Zwirn Special Opportunities Fund, L.P., D.B. Zwirn Special Opportunities Fund, Ltd. and HCM/Z Special Opportunities LLC.
  - (Continuation of Footnote 1) As the managing member of DBZ GP, LLC, Zwirn Holdings, LLC may be deemed to beneficially own the shares of Common Stock beneficially owned by D.B. Zwirn Special Opportunities Fund, L.P., D.B. Zwirn Special Opportunities Fund,
- (2) Ltd. and HCM/Z Special Opportunities LLC. As the managing member of Zwirn Holdings, LLC, Daniel B. Zwirn may be deemed to beneficially own the shares of Common Stock beneficially owned by D.B. Zwirn Special Opportunities Fund, L.P., D.B. Zwirn Special Opportunities Fund, Ltd. and HCM/Z Special Opportunities LLC.
- Each Reporting Person disclaims beneficial ownership of these securities except to the extent of its pecuniary interest, and this report

  (3) shall not be deemed to be an admission that any Reporting Person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

#### **Remarks:**

a currently valid OMB number.

Each Reporting Person may be deemed to be a member of a Section 13(d) group that owns more than 10% of the Issuer's outst Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays