Edgar Filing: SEELBACH SCOTT W - Form 5

SEELBACH SCOTT W Form 5 February 14, 2019

## **OMB APPROVAL** FORM 5 OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer SEELBACH SCOTT W Symbol NACCO INDUSTRIES INC [NC] (Check all applicable) (Last) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) Director 10% Owner Officer (give title \_\_X\_\_ Other (specify 12/31/2018 below) below) NACCO INDUSTRIES, Member of a group INC., Â 5875 LANDERBROOK DRIVE, STE. 220 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) MAYFIELD \_X\_ Form Filed by One Reporting Person HEIGHTS, OHÂ 44124 Form Filed by More than One Reporting

Person

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3, Amount	l (A) c l of (D	)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	11/08/2018	Â	G	102	А	\$ 0 (1)	10,497	I	By Assoc II/Spouse (2)	
Class A Common Stock	11/08/2018	Â	G	68	А	\$ 0 (1)	475	I	By AssocII/Child 1 (3)	
	11/08/2018	Â	G	68	А		634	I		

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Class A Common Stock						\$ 0 (1)			By AssocII/Child 2 (3)
Class A Common Stock	11/08/2018	Â	G	205	A	\$ 0 (1)	853	I	By AssocII/Child 3 (3)
Class A Common Stock	11/08/2018	Â	G	102	A	\$ 0 (1)	10,497	Ι	By Assoc II/Spouse (2)
Class A Common Stock	Â	Â	Â	Â	Â	Â	9,283	I	By Spouse/Trust
Class A Common Stock	Â	Â	Â	Â	Â	Â	563	Ι	By Trust/Child $2 \frac{(5)}{2}$
Class A Common Stock	Â	Â	Â	Â	Â	Â	722	D	Â
Class A Common Stock	Â	Â	Â	Â	Â	Â	1,321	Ι	By Assoc II (6)
Class A Common Stock	Â	Â	Â	Â	Â	Â	722	Ι	By Trust/Child

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of informationSEC 2270contained in this form are not required to respond unless(9-02)the form displays a currently valid OMB control number.(9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. of D So B O E I S Fi (I
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
F	Director	10% Owner	Officer	Other				
SEELBACH SCOTT W NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 220 MAYFIELD HEIGHTS, OH 44124	)Â	Â	Â	Member of a group				
Signatures								
/s/ Jesse L. Adkins, attorney-in-fact 02/	14/2019							
**Signature of Reporting Person	Date							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) N/A
- (2) Represents the Reporting Person's spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L. P. Reporting Person disclaims beneficial ownership of all such shares.
- Represents the Reporting Person's child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.
   Reporting Person disclaims beneficial ownership of all such shares.
- (4) Held by a Trust, together with National City Bank, Chloe R. Seelbach, Trustees for the trust dated 4/10/09. Reporting Person disclaims beneficial ownership of all such shares.
- (5) Represents Reporting Person's child's trust. Reporting Person disclaims all beneficial ownership of such shares.
- (6) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.