Edgar Filing: Griffin John M. - Form 4

Griffin John I Form 4										
November 07	Л	~~ . ~~ ~								PPROVAL
UNITED STATES S				SECURITIES AND EXCHANGE COMMISSI Washington, D.C. 20549						3235-0287
Check this if no long subject to Section 16 Form 4 or Form 5 obligation	Section 10	SECUR	ITIES e Securiti	es Ex	NERSHIP OF	Estimated average burden hours per response (934,				
may conti See Instru 1(b).	nue. Section 17			ility Hold vestment (. .			f 1935 or Sectio 40	n	
(Print or Type R	esponses)									
1. Name and Address of Reporting Person <u>*</u> Griffin John M.			2. Issuer Name and Ticker or Trading Symbol HOLOGIC INC [HOLX]					5. Relationship of Reporting Person(s) to Issuer		
(Last) (First) (Middle) 250 CAMPUS DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 11/05/2018					(Check all applicable) <u></u> Director <u>X_</u> Officer (give title <u></u> 10% Owner <u></u> Other (specify below) General Counsel		
				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 		
MARLBOR	OUGH, MA 01'	752						Form filed by M Person	fore than One Re	eporting
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ties Acq	uired, Disposed of	f, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	any		med on Date, if Day/Year)	3. Transactic Code (Instr. 8)	on(A) or Disposed of (D)			SecuritiesIBeneficially0OwnedIFollowing0	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common	11/05/2010			Code V	Amount 2,503	2 503		Reported Transaction(s) (Instr. 3 and 4)	D	
Stock	11/05/2018			$M \qquad \underbrace{(1)}_{(1)}$		А	<u>(2)</u>	54,677 <u>(3)</u>	D	
Common Stock	11/05/2018			А	17,267 (4)	А	<u>(2)</u>	71,944 <u>(5)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Transaction of Derivative Code Securities		Derivative Expiration Date curities (Month/Day/Year) quired) or sposed of) str. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit Award (Right To Receive)	(2)	11/05/2018		М	2,503	<u>(6)</u>	(6)	Common Stock	2,503	9

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Griffin John M. 250 CAMPUS DRIVE MARLBOROUGH, MA 01752			General Counsel				
Signatures							

/s/ Patricia K. Dolan, attorney-in-fact for Mr. Griffin	11/07/2018
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). **
- Represents vested restricted stock units, the settlement of which has been deferred pursuant to the Issuer's Deferred Equity Plan. (1)
- (2) Restricted stock units and performance stock units are settled in shares of common stock on a one-for-one basis.
- Includes 18,517 restricted stock units, the settlement of which has been deferred pursuant to the Issuer's Deferred Equity Plan. (3)
- Represents vested performance stock units, the settlement of which has been deferred pursuant to the Issuer's Deferred Equity Plan. (4)
- Includes 35,784 restricted stock units/performance stock units, the settlement of which has been deferred pursuant to the Issuer's Deferred (5) Equity Plan.
- (6) This restricted stock unit award vests in three installments beginning on the first anniversary of the grant date, November 5, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.