

Hagedorn Michael D  
Form 4  
October 04, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Hagedorn Michael D

(Last) (First) (Middle)  
1010 GRAND BLVD.  
(Street)

KANSAS CITY, MO 64106

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
UMB FINANCIAL CORP [UMBF]

3. Date of Earliest Transaction (Month/Day/Year)  
10/03/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
President of Subsidiary

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	10/03/2018		M		2,648	A	\$ 45.58
							49,439.9536 (1)
Common Stock	10/03/2018		M		3,022	A	\$ 57.4
							52,461.9536
Common Stock	10/03/2018		M		8,054	A	\$ 51.42
							60,515.9536
Common Stock	10/03/2018		M		7,260	A	\$ 47.68
							67,775.9536
Common Stock	10/03/2018		S		200	D	\$ 72.4
							67,575.9536

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Common Stock	10/03/2018	S	100	D	\$ 72.41	67,475.9536	D
Common Stock	10/03/2018	S	100	D	\$ 72.42	67,375.9536	D
Common Stock	10/03/2018	S	200	D	\$ 72.43	67,175.9536	D
Common Stock	10/03/2018	S	689	D	\$ 72.44	66,486.9536	D
Common Stock	10/03/2018	S	400	D	\$ 72.45	66,086.9536	D
Common Stock	10/03/2018	S	300	D	\$ 72.46	65,786.9536	D
Common Stock	10/03/2018	S	700	D	\$ 72.47	65,086.9536	D
Common Stock	10/03/2018	S	600	D	\$ 72.48	64,486.9536	D
Common Stock	10/03/2018	S	500	D	\$ 72.49	63,986.9536	D
Common Stock	10/03/2018	S	500	D	\$ 72.5	63,486.9536	D
Common Stock	10/03/2018	S	100	D	\$ 72.505	63,386.9536	D
Common Stock	10/03/2018	S	100	D	\$ 72.51	63,286.9536	D
Common Stock	10/03/2018	S	200	D	\$ 72.525	63,086.9536	D
Common Stock	10/03/2018	S	300	D	\$ 72.53	62,786.9536	D
Common Stock	10/03/2018	S	300	D	\$ 72.54	62,486.9536	D
Common Stock	10/03/2018	S	200	D	\$ 72.55	62,286.9536	D
Common Stock	10/03/2018	S	692	D	\$ 72.56	61,594.9536	D
Common Stock	10/03/2018	S	1,072	D	\$ 72.57	60,522.9536	D
Common Stock	10/03/2018	S	1,000	D	\$ 72.58	59,522.9536	D
Common Stock	10/03/2018	S	100	D	\$ 72.585	59,422.9536	D
	10/03/2018	S	300	D	\$ 72.59	59,122.9536	D

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Common Stock									
Common Stock	10/03/2018		S	200	D	\$ 72.6	58,922.9536	D	
Common Stock	10/03/2018		S	100	D	\$ 72.605	58,822.9536	D	
Common Stock	10/03/2018		S	1,095	D	\$ 72.61	57,727.9536	D	
Common Stock							290.2006	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Option (Right to Buy)	\$ 45.58	10/03/2018		M	2,648	01/01/2016	01/01/2023	Common Stock	2,648
Stock Option (Right to Buy)	\$ 57.4	10/03/2018		M	3,022	01/01/2017 <sup>(2)</sup>	01/01/2024	Common Stock	3,022
Stock Option (Right to Buy)	\$ 51.42	10/03/2018		M	8,054	02/11/2018 <sup>(3)</sup>	02/11/2025	Common Stock	8,054
Stock Option	\$ 47.68	10/03/2018		M	7,260	02/05/2018 <sup>(4)</sup>	02/05/2026	Common Stock	7,260

(Right to Buy)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hagedorn Michael D 1010 GRAND BLVD. KANSAS CITY, MO 64106			President of Subsidiary	

## Signatures

John Pauls, Attorney-in-fact for Mr. Hagedorn	10/04/2018
**Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares acquired through the reinvestment of dividends on restricted stock.
- (2) Options vest 50% on 1/1/2017, 75% on 1/1/2018, and 100% on 1/1/2019
- (3) Options will vest 50% on 2/11/2018, 75% on 2/11/2019, and 75% on 2/11/2020
- (4) Options will vest 50% on 2/5/2018, 75% on 2/5/2019, and 100% on 2/5/2020.

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