CUMMINGS KURT P

Form 4 July 27, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

| 1. Name and Add CUMMINGS | * | ing Person * | Issuer Name and Ticker or Trading Symbol AVX Corp [AVX] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|-----------------------------|---------|--------------|---|--|--|--|--|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | (Check an applicable) | | | |
| | | | (Month/Day/Year) | Director 10% Owner | | | |
| 1 AVX BOULEVARD (Street) | | | 07/26/2018 | X Officer (give title Other (specify below) | | | |
| | | | | Exec VP, CFO & Treasurer | | | |
| | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| | | | Filed(Month/Day/Year) | Applicable Line) | | | |
| FOUNTAIN INN, SC 29644 | | | | _X_ Form filed by One Reporting Person Form filed by More than One Reportin Person | | | |

| (City) | (State) | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | |
|--------------------------------------|---|--|---|--------|-----------|--|--|---|--|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | , | | |
| Common Stock | 07/26/2018 | | M | 11,060 | A | \$ 11.25 | 22,812 | D | | |
| Common Stock | 07/26/2018 | | S | 11,060 | D | \$ 18.87 | 11,752 | D | | |
| Common Stock | 07/26/2018 | | M | 18,940 | | \$ 11.25 | 30,692 | D | | |
| Common Stock | 07/26/2018 | | S | 18,940 | D | \$ 18.87 | 11,752 | D | | |
| Common Stock | 07/26/2018 | | M | 8,673 | A | \$ 13.32 | 20,425 | D | | |

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| Common Stock | 07/26/2018 | S | 8,673 | D | \$ 18.87 | 11,752 | D | |
|-----------------|------------|---|--------|---|-------------|--------|---|--------------------------------------|
| Common Stock | 07/26/2018 | M | 21,327 | A | \$ 13.32 | 33,079 | D | |
| Common Stock | 07/26/2018 | S | 21,327 | D | \$ 18.87 | 11,752 | D | |
| Common Stock | | | | | | 13,676 | I | By Retirement Plan Trustees |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amou Underlying Secur (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Am or Nu of S |
| Incentive Stock Option (Right to Buy) | \$ 11.25 | 07/26/2018 | | M | 11,060 | 04/26/2014 | 04/26/2023 | Common Stock | 11 |
| Non-Qualified Stock Option (Right to Buy) | \$ 11.25 | 07/26/2018 | | M | 18,940 | 04/26/2014 | 04/26/2023 | Common Stock | 18 |
| Incentive Stock Option (Right to Buy) | \$ 13.32 | 07/26/2018 | | M | 8,673 | 04/24/2015 | 04/24/2024 | Common Stock | 8, |
| Non-Qualified Stock Option (Right to Buy) | \$ 13.32 | 07/26/2018 | | M | 21,327 | 04/24/2015 | 04/24/2024 | Common Stock | 21 |

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Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CUMMINGS KURT P 1 AVX BOULEVARD FOUNTAIN INN, SC 29644

Exec VP, CFO & Treasurer

Signatures

Michael Hufnagel, attorney-in-fact 07/27/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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