Schenkel Alex Form 3 July 24, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AVX Corp [AVX] Schenkel Alex (Month/Day/Year) 07/18/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1 AVX BLVD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) FOUNTAIN INN, SCÂ 29644 Form filed by More than One Sr. Vice President of Sales Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock D Â 6,833 (1) Â Common Stock 4,134 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

| 1. Title of Derivative Security | 2. Date Exercisable and | 3. Title and Amount of | 4.          | 5.         | 6. Nature of Indirect |
|---------------------------------|-------------------------|------------------------|-------------|------------|-----------------------|
| (Instr. 4)                      | Expiration Date         | Securities Underlying  | Conversion  | Ownership  | Beneficial            |
|                                 | (Month/Day/Year)        | Derivative Security    | or Exercise | Form of    | Ownership             |
|                                 |                         | (Instr. 4)             | Price of    | Derivative | (Instr. 5)            |
|                                 |                         |                        | Derivative  | Security:  |                       |

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|                                       | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares | Security | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|---------------------------------------|---------------------|--------------------|-----------------|----------------------------------|----------|--|---|
| Incentive Stock Option (Right to Buy) | 04/24/2015          | 04/24/2024         | Common<br>Stock | 7,000                            | \$ 13.32 | D  | Â |
| Incentive Stock Option (Right to Buy) | 04/16/2016          | 04/16/2025         | Common<br>Stock | 12,000                           | \$ 14.55 | D  | Â |

## **Reporting Owners**

| Reporting Owner Name / Address                        | Relationships |           |                             |       |  |
|---|---------------|-----------|-----------------------------|-------|--|
| 1   | Director      | 10% Owner | Officer                     | Other |  |
| Schenkel Alex<br>1 AVX BLVD<br>FOUNTAIN INN, SC 29644 | Â             | Â         | Sr. Vice President of Sales | Â     |  |

# **Signatures**

Michael Hufnagel, attorney-in-fact 07/24/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest annually over a three-year period. The restricted stock units each convert into common stock on a one for one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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