

RANKIN MATTHEW M
Form 4
January 04, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN MATTHEW M

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
220

3. Date of Earliest Transaction
(Month/Day/Year)
12/14/2017

Director 10% Owner
 Officer (give title below) Other (specify below)
Member of a group

(Street)
MAYFIELD HEIGHTS, OH 44124

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				(A) or (D)	Price				
				Code	V	Amount			
Class A Common Stock ⁽¹⁾	12/14/2017		G	V	81	A	<u>(2)</u> 9,348	I	By RAI ⁽³⁾
Class A Common Stock ⁽¹⁾	12/14/2017		G	V	81	A	<u>(2)</u> 9,429	I	By RAI ⁽³⁾
Class A Common Stock	12/14/2017		G	V	81	A	<u>(2)</u> 1,242	I	Trust/RAI/Child ⁽⁴⁾
Class A Common Stock	12/14/2017		G	V	81	A	<u>(2)</u> 1,390	I	Trust/RAI/Child1

Edgar Filing: RANKIN MATTHEW M - Form 4

Common Stock									(4)
Class A Common Stock (1)	12/14/2017		G V 163	D (2)	9,266		I		By RAI (3)
Class A Common Stock	12/14/2017		G V 163	A (2)	1,553		I		Trust/RAI/Child1 (4)
Class A Common Stock (1)	12/14/2017		G V 163	D (2)	9,103		I		By RAI (3)
Class A Common Stock	12/14/2017		G V 163	A (2)	1,405		I		Trust/RAI/Child2 (4)
Class A Common Stock	01/02/2018		A(5)	556	A (2)	9,044		I	By Trust (6)
Class A Common Stock					722		I		By Spouse (7)
Class A Common Stock (1)					2,058		I		By RAI/Spouse (8)
Class A Common Stock					645		I		Trust/Child1 (9)
Class A Common Stock (1)					500		D		
Class A Common Stock					563		I		Trust/Child2 (9)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
--	------------------------------------	--------------------------------------	--	--------------------------------	------------------------------------	--	---	--

Edgar Filing: RANKIN MATTHEW M - Form 4

- (10) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates I, L.P., which is held in trust for the benefit of Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.