NACCO INDUSTRIES INC

Form 4 July 05, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

(Time of Type	(Csponses)							
1. Name and TAPLIN D	Address of Reporting Pers OAVID F	Symbol	Name and Ticker or Trading INDUSTRIES INC [NC]	Issuer	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (Midd	lle) 3. Date of	Earliest Transaction	(0.1	сск ин иррпсион	•)		
		(Month/Da	y/Year)	_X_ Director	10%	6 Owner		
NACCO IN	NDUSTRIES, INC., 5			Officer (gi	ve titleX Oth	ner (specify		
	BROOK DRIVE, STE			below)	below)			
220	DROOK DRIVE, STE	.		Dir. an	d Member of a G	roup		
220								
	(Street)	4. If Amen	dment, Date Original	6. Individual or	6. Individual or Joint/Group Filing(Check Applicable Line)			
		Filed(Mont	h/Day/Year)	Applicable Line)				
				X Form filed b	y One Reporting Po	erson		
MAYFIEL	D HEIGHTS, OH 44	124			y More than One Re	eporting		
	2 112101115, 011			Person				
(City)	(State) (Zip	Table	I - Non-Derivative Securities	Acquired, Disposed	of, or Beneficia	lly Owne		
1.Title of	2. Transaction Date 2	A. Deemed	3. 4. Securities	5. Amount of	6. Ownership	7. Natur		
Security	(Month/Day/Year) E	Execution Date, if	TransactionAcquired (A) or	Securities	Form: Direct	Indirect		
(Instr 2)		nv	Code Disposed of (D)	Danafiaially	(D) or	Danafia		

(City)	(State) (2	Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of	2. Transaction Date		3. T	4. Securi			5. Amount of	6. Ownership	7. Nature of
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if	Code	nAcquired (A) or			Securities Beneficially	Form: Direct (D) or	Indirect Beneficial
(msu. 3)		any (Month/Day/Year)	(Instr. 8)	Disposed of (D) (Instr. 3, 4 and 5)		Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
					(A) or		Reported Transaction(s)		
			Code V	Amount		Price	(Instr. 3 and 4)		
Class A									
Common	07/03/2017		A(1)	303	A	<u>(2)</u>	18,982	D	
Stock									
Class A									
Common							100	I	Trust2 $\frac{(3)}{}$
Stock									

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. SorNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities 1
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	\$ 0 (2)					(2)	(2)	Class A Common Stock	15,883

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

TAPLIN DAVID F NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 220 MAYFIELD HEIGHTS, OH 44124

X

Dir. and Member of a Group

8. Price o Derivativ Security (Instr. 5)

Signatures

/s/ Jesse L. Adkins, attorney-in-fact

07/05/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of Class A Common Stock awarded to the Reporting Person as "Required Shares" under the company's Non-Employee Directors' Equity Compensation Plan.
- (2) N/A
- (3) Shares held in a trust. Ngaio T. Lowry Trust U/A Dtd 08/26/1998 FBO David F. Taplin.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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