Edgar Filing: NACCO INDUSTRIES INC - Form 5

Form 5	OUSTRIES IN	C							
February 14,	2017								
FORM	5						OMB A	PPROVAL	
. •		D STATES				COMMISSION	OMB Number:	3235-0362	
Check this no longer s			Was	hington, D.	C. 20549		Expires:	January 31, 2005	
to Section 16. Form 4 or Form 5 obligations may continue. ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				NEFICIAL	Estimated average burden hours per response 1.0				
See Instruct 1(b).		oursuant to	Section 10	6(a) of the S	ecurities Exchan	ge Act of 1934,			
· · ·	Idings Section	7(a) of the	Public Ut	ility Holding		of 1935 or Section	1		
	ddress of Reporti	ing Person <u>*</u>		Name and Tick	er or Trading	5. Relationship of	Reporting Per	son(s) to	
Rankin Thor	nas Parker		Symbol NACCC) INDUSTR	IES INC [NC]	Issuer			
(Last)	(First)	(Middle)	3. Stateme	ent for Issuer's	Fiscal Year Ended	(Check all applicable)			
			(Month/D 12/31/20	-		Director Officer (give t	title 10%	o Owner Ner (specify	
NACCO INI INC., 5875 DRIVE, STE	LANDERBE	ROOK	12/3/1/20	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		below)	below) ber of a group		
	(Street)			ndment, Date C	Driginal	6. Individual or Joi	int/Group Rep	orting	
			Filed(Mon	th/Day/Year)		(check applicable line)			
MAYFIELD									
HEIGHTS,Â	OHÂ 44124					_X_ Form Filed by C Form Filed by M Person			
(City)	(State)	(Zip)	Table	e I - Non-Deri	vative Securities A	cquired, Disposed of,	, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Execution any	emed on Date, if /Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Pr	Securities Beneficially Owned at end	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

Class A Common Stock	10/07/2016	Â	J <u>(1)</u>	1,600	А	\$ 0 (2)	5,007	I	By Trust
Class A Common Stock	12/22/2016	Â	G	100	А	\$ 0 (2)	15,665	Ι	By RAII (4)
Class A Common	10/07/2016	Â	J <u>(1)</u>	2,400	А	\$ 0 (2)	5,007	Ι	By Trust (3)

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Stock									
Class A Common Stock	Â	Â	Â	Â	Â	Â	3,400	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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contained in this form are not required to respond unless
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(9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. of D Se B O E I S Fi (I
					4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		~

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Rankin Thomas Parker NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 220 MAYFIELD HEIGHTS, OH 44124	Â	Â	Â	Member of a group		

Signatures

/s/ Jesse L. Adkins,	02/14/2017
attorney-in-fact	02/14/2017

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares of Class A Common Stock distributed from the Clara T. Rankin Irrevocable Trust f/b Grandchildren u/a/d 12/28/76 per the terms of the trust agreement.

(2) N/A

(3)

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Thomas P.K. Rankin, Trustee of the trust created by the agreement, dated February 2, 2011, as supplemented, amended and restated, between Thomas P.K. Rankin, as trustee, and Thomas P.K. Rankin, creating a trust for the benefit of Thomas P.K. Rankin.

- (4) Represents Reporting Person's proportionate interest in shares held by Rankin Associates II, L.P.
- Â

Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10%Â c

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.