

AVX Corp
Form 4
December 13, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
THOMAS KEITH

(Last) (First) (Middle)

1 AVX BOULEVARD

(Street)

FOUNTAIN INN, SC 29644

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AVX Corp [AVX]

3. Date of Earliest Transaction
(Month/Day/Year)
12/09/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)
SR VP of Corporate Development

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option (Right to Buy)	\$ 13.7	12/09/2016	12/14/2016	D			8,572		06/14/2011	06/14/2020	Common Stock	8,572
Incentive Stock Option (Right to Buy)	\$ 14.58	12/09/2016	12/14/2016	D			7,950		06/24/2012	06/24/2021	Common Stock	7,950
Non-Qualified Stock Option (Right to Buy)	\$ 13.32	12/09/2016	12/14/2016	D			6,024		04/24/2015	04/24/2024	Common Stock	6,024
Non-qualified Stock Option (Right to Buy)	\$ 13.7	12/09/2016	12/14/2016	D			6,428		06/14/2011	06/14/2020	Common Stock	6,428
Non-Qualified Stock Option (Right to Buy)	\$ 14.58	12/09/2016	12/14/2016	D			7,050		06/24/2012	06/24/2021	Common Stock	7,050
Incentive Stock Option (Right to Buy)	\$ 10.9	12/09/2016	12/14/2016	D			7,000		05/17/2013	05/17/2022	Common Stock	7,000
Incentive Stock Option (Right to Buy)	\$ 11.25	12/09/2016	12/14/2016	D			4,387		04/26/2014	04/26/2023	Common Stock	4,387
Non-qualified Stock Option (Right to Buy)	\$ 14.55	12/09/2016	12/14/2016	D			5,000		04/16/2015	04/16/2025	Common Stock	5,000
Incentive Stock Option (Right to Buy)	\$ 13.32	12/09/2016	12/14/2016	D			1,476		04/24/2015	04/24/2024	Common Stock	1,476
Non-Qualified Stock Option (Right to Buy)	\$ 11.25	12/09/2016	12/14/2016	D			3,113		04/26/2014	04/26/2023	Common Stock	3,113

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

