

SYNEX CORP
Form 4
October 14, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LAROCQUE PETER

(Last) (First) (Middle)

44201 NOBEL DRIVE

(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SYNEX CORP [SNX]

3. Date of Earliest Transaction (Month/Day/Year)
10/12/2016

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

President, N.A. Distribution

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock	10/12/2016		M		2,247	A	\$ 89.21
Common Stock	10/12/2016		M		6,206	A	\$ 62.9
Common Stock	10/12/2016		M		554	A	\$ 61.83
Common Stock	10/12/2016		M		544	A	\$ 32.4
Common Stock	10/12/2016		S		1,551	D	\$ 107

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Common Stock	10/12/2016	S	696	D	\$ 106.499	24,207	D
Common Stock	10/12/2016	S	304	D	\$ 106.499	23,903	D
Common Stock	10/12/2016	S	1,000	D	\$ 106.46	22,903	D
Common Stock	10/12/2016	S	1,000	D	\$ 106.4688	21,903	D
Common Stock	10/12/2016	S	1,000	D	\$ 106.4964	20,903	D
Common Stock	10/12/2016	S	1,000	D	\$ 106.5758	19,903	D
Common Stock	10/12/2016	S	1,000	D	\$ 106.5722	18,903	D
Common Stock	10/12/2016	S	902	D	\$ 106.6666	18,001	D
Common Stock	10/12/2016	S	554	D	\$ 106.6666	17,447	D
Common Stock	10/12/2016	S	544	D	\$ 106.6666	16,903	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to	\$ 89.21	10/12/2016		M	2,247	<u>(1)</u> 10/06/2025	Common Stock	2,247

Buy)									
Employee Stock Option (Right to Buy)	\$ 62.9	10/12/2016	M	6,206	(2)	10/07/2024	Common Stock	6,206	
Stock Option (Right to Buy)	\$ 61.83	10/12/2016	M	554	(3)	10/03/2023	Common Stock	554	
Stock Option (Right to Buy)	\$ 32.4	10/12/2016	M	544	(4)	10/03/2022	Common Stock	544	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LAROCQUE PETER 44201 NOBEL DRIVE FREMONT, CA 94538			President, N.A. Distribution	

Signatures

/s/ Simon Y. Leung,
Attorney-in-Fact

10/14/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock option vests as to 20% of the 11,235 shares on the first anniversary of the date of grant (October 6, 2015) and vests as to 1/60th of the shares monthly thereafter.
- (2) This stock option vests as to 20% of the 15,514 shares on the first anniversary of the date of grant (October 7, 2014) and vests as to 1/60th of the shares monthly thereafter.
- (3) This stock option vests as to 20% of the 10,584 shares on the first anniversary of the date of grant (October 3, 2013) and vests as to 1/60th of the shares monthly thereafter.
- (4) This stock option vests as to 20% of the 19,277 shares on the first anniversary of the date of grant (October 3, 2012) and vests as to 1/60th of the shares monthly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.