LEXICON PHARMACEUTICALS, INC.

Form 4 May 02, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

OMB APPROVAL

January 31, Expires: 2005

0.5

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(City)

(Print or Type Responses)

Amouyal Philippe Issuer Symbol LEXICON PHARMACEUTICALS, (Check all applicable) INC. [LXRX] (Last) (First) (Middle) 3. Date of Earliest Transaction X_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below)

2. Issuer Name and Ticker or Trading

8800 TECHNOLOGY FOREST **PLACE**

1. Name and Address of Reporting Person *

(Street) 4. If Amendment, Date Original

04/29/2016

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

THE WOODLANDS, TX 77381

(State)

(Zip)

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) Following (Instr. 4) (Instr. 4) Reported

(A) Transaction(s) or (Instr. 3 and 4) Price

Code V Amount (D)

Common 04/29/2016 \$0 9,057 A 1,432 Α D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | vative es ed | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---|---|-------|--------------------|--|--------------------|---|--|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 13.96 | 04/29/2016 | | A | | 2,857 | | <u>(1)</u> | 04/29/2026 | Common Stock | 2,857 |
| Stock Option (Right to Buy) | \$ 22.47 | | | | | | | (2) | 08/28/2017 | Common Stock | 4,285 |
| Stock Option (Right to Buy) | \$ 15.4 | | | | | | | (3) | 04/24/2018 | Common Stock | 1,428 |
| Stock Option (Right to Buy) | \$ 6.93 | | | | | | | (3) | 04/24/2019 | Common Stock | 1,428 |
| Stock Option (Right to Buy) | \$ 13.86 | | | | | | | <u>(1)</u> | 05/10/2023 | Common Stock | 2,857 |
| Stock Option (Right to Buy) | \$ 10.78 | | | | | | | <u>(1)</u> | 04/30/2020 | Common Stock | 1,428 |
| Stock Option (Right to Buy) | \$ 11.83 | | | | | | | <u>(1)</u> | 04/29/2021 | Common Stock | 1,428 |
| Stock Option (Right to Buy) | \$ 11.9 | | | | | | | <u>(1)</u> | 04/27/2022 | Common Stock | 2,857 |
| Stock Option | \$ 10.92 | | | | | | | <u>(1)</u> | 04/25/2024 | Common Stock | 2,857 |

8. l De Sec

(In

(Right to Buy)

Stock

Buy)

Option \$ 7.49 (Right to

(1) 04/24/2025 Common Stock

2,857

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Amouyal Philippe 8800 TECHNOLOGY FOREST PLACE X THE WOODLANDS, TX 77381

Signatures

/s/ Philippe J.

Amouyal 05/02/2016 **Signature of Reporting

Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option vests with respect to 1/12th of the shares subject to the option for each month of service following the date of grant.
- (2) Option vests with respect to 1/60th of the shares subject to the option for each month of service following the date of grant (8/28/2007)
- (3) Option vests with respect to 1/12th of the shares subject to the option for each month of service following the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3