Edgar Filing: PRICE T ROWE GROUP INC - Form 3

PRICE T ROWE GROUP INC Form 3 January 11, 2016 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Т	able II - Der	ivative Secur	rities Beneficially Owned (e	.g., puts, calls,	warrants, opt	ions, c	onvertible securities)		
	inform requir	oond to the collection of ained in this form are not nd unless the form displ MB control number.							
Reminder: Repo owned directly o	-	ate line for ea	ch class of securities benefic	ially S	EC 1473 (7-02)			
Common Sto			48,124.080		D	Â			
1.Title of Secur (Instr. 4)			2. Amount o Beneficially (Instr. 4)	f Securities	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		ture of Indirect Beneficial rship		
(City)	(State)	(Zip)	Table I - N		e President t ive Securiti	es Bei	Reporting Person neficially Owned		
BALTIMOR	(Street) E, MDÂ	21202		Director10% Owner XOfficerOther (give title below) (specify below)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One 		
100 E. PRAT		Т		(Check all applicable)			· · ·		
(Last)	(First)	(Middle)	01/01/2016	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)		
1. Name and Ad Person <u>*</u> Veiel Eric		orting	2. Date of Event Requiring Statement (Month/Day/Year)	^g 3. Issuer Name and Ticker or Trading Symbol PRICE T ROWE GROUP INC [TROW]					

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	ersion Ownership Benefic ercise Form of (Instr. 5	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

3235-0104

Estimated average burden hours per response... 0.5

Number:

Expires:

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				Shares		(I) (Instr. 5)	
Stock Options (Right to Buy)	(1)	11/01/2016	Common Stock	16,293	\$ 44.3716	D	Â
Stock Options (Right to Buy)	(2)	09/06/2017	Common Stock	27,063	\$ 48.0508	D	Â
Stock Options (Right to Buy)	(3)	09/04/2018	Common Stock	30,187	\$ 54.8329	D	Â
Stock Options (Right to Buy)	(4)	02/12/2019	Common Stock	7,389	\$ 26.3885	D	Â
Stock Options (Right to Buy)	(5)	09/10/2019	Common Stock	18,736	\$ 43.3725	D	Â
Stock Options (Right to Buy)	(6)	02/18/2020	Common Stock	20,818	\$ 47.6474	D	Â
Stock Options (Right to Buy)	(7)	09/08/2020	Common Stock	20,819	\$ 45.7933	D	Â
Stock Options (Right to Buy)	(8)	02/17/2021	Common Stock	20,819	\$ 67.5613	D	Â
Stock Options (Right to Buy)	(9)	09/08/2021	Common Stock	20,819	\$ 48.56	D	Â
Stock Options (Right to Buy)	(10)	02/23/2022	Common Stock	10,408	\$ 59.0693	D	Â
Stock Options (Right to Buy)	(11)	09/06/2022	Common Stock	10,409	\$ 60.7984	D	Â
Stock Options (Right to Buy)	(12)	02/21/2023	Common Stock	11,273	\$ 69.6708	D	Â
Stock Options (Right to Buy)	(13)	09/10/2023	Common Stock	13,324	\$ 70.2854	D	Â
Stock Options (Right to Buy)	(14)	02/19/2024	Common Stock	14,349	\$ 77.9443	D	Â
Stock Options (Right to Buy)	(15)	09/09/2024	Common Stock	14,349	\$ 78.4418	D	Â
Stock Options (Right to Buy)	(16)	02/19/2025	Common Stock	17,936	\$ 80.9492	D	Â
Stock Options (Right to Buy)	(17)	09/10/2025	Common Stock	17,500	\$ 70.92	D	Â

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Veiel Eric L 100 E. PRATT STREET Â Â Â Vice President Â BALTIMORE, MDÂ 21202

Signatures

/s/ Eric L. Veiel

01/11/2016

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 11/01/2006 Grant The option vests 20% annually over a 5 year period beginning on 11/01/2007.
- (2) 09/06/2007 Grant The option vests 20% annually over a 5 year period beginning on 09/06/2008.
- (3) 09/04/2008 Grant The option vests 20% annually over a 5 year period beginning on 09/04/2009.
- (4) 2/12/2009 Grant The option vests 20% annually over a 5 year period beginning on 11/01/2010.
- (5) 09/10/2009 Grant The option vests 20% annually over a 5 year period beginning on 11/01/2010.
- (6) 02/18/2010 Grant The option vests 20% annually over a 5 year period beginning on 11/01/2011.
- (7) 09/08/2010 Grant The option vests 20% annually over a 5 year period beginning on 11/01/2011.
- (8) 02/17/2011 Grant The option vests 20% annually over a 5 year period beginning on 11/01/2012.

(9) 09/08/2011 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2012.

- (10) 02/23/2012 Grant The option vests 20% annually over a 5 year period beginning on 12/10/2013.
- (11) 09/06/2012 Grant The option vests 20% annually over a 5 year period beginning on 12/10/2013.
- (12) 02/21/2013 Grant The option vests 20% annually over a 5 year period beginning on 12/10/2014.
- (13) 09/10/2013 Grant The option vests 20% annually over a 5 year period beginning on 12/10/2014.
- (14) 02/19/2014 Grant The option vests 20% annually over a 5 year period beginning on 12/10/2015.
- (15) 09/09/2014 Grant The option vests 20% annually over a 5 year period beginning on 12/10/2015.
- (16) 02/19/2015 Grant The option vests 20% annually over a 5 year period beginning on 12/09/2016.
- (17) 09/10/2015 Grant The option vests 20% annually over a 5 year period beginning on 12/09/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.