#### Edgar Filing: PRICE T ROWE GROUP INC - Form 3

#### PRICE T ROWE GROUP INC

Form 3

January 11, 2016

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PRICE T ROWE GROUP INC [TROW] Veiel Eric L (Month/Day/Year) 01/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 100 E. PRATT STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer \_ Other Person BALTIMORE, Â MDÂ 21202 (give title below) (specify below) Form filed by More than One Vice President Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 48,124.086 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	•		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			(Instr. 4)		Price of	Derivative	
		Expiration Date	Title	Amount or Number of	Derivative	Security:	
					Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
Stock Options (Right to Buy)	(1)	11/01/2016	Common Stock	16,293	\$ 44.3716	D	Â
Stock Options (Right to Buy)	(2)	09/06/2017	Common Stock	27,063	\$ 48.0508	D	Â
Stock Options (Right to Buy)	(3)	09/04/2018	Common Stock	30,187	\$ 54.8329	D	Â
Stock Options (Right to Buy)	(4)	02/12/2019	Common Stock	7,389	\$ 26.3885	D	Â
Stock Options (Right to Buy)	(5)	09/10/2019	Common Stock	18,736	\$ 43.3725	D	Â
Stock Options (Right to Buy)	(6)	02/18/2020	Common Stock	20,818	\$ 47.6474	D	Â
Stock Options (Right to Buy)	(7)	09/08/2020	Common Stock	20,819	\$ 45.7933	D	Â
Stock Options (Right to Buy)	(8)	02/17/2021	Common Stock	20,819	\$ 67.5613	D	Â
Stock Options (Right to Buy)	(9)	09/08/2021	Common Stock	20,819	\$ 48.56	D	Â
Stock Options (Right to Buy)	(10)	02/23/2022	Common Stock	10,408	\$ 59.0693	D	Â
Stock Options (Right to Buy)	(11)	09/06/2022	Common Stock	10,409	\$ 60.7984	D	Â
Stock Options (Right to Buy)	(12)	02/21/2023	Common Stock	11,273	\$ 69.6708	D	Â
Stock Options (Right to Buy)	(13)	09/10/2023	Common Stock	13,324	\$ 70.2854	D	Â
Stock Options (Right to Buy)	(14)	02/19/2024	Common Stock	14,349	\$ 77.9443	D	Â
Stock Options (Right to Buy)	(15)	09/09/2024	Common Stock	14,349	\$ 78.4418	D	Â
Stock Options (Right to Buy)	(16)	02/19/2025	Common Stock	17,936	\$ 80.9492	D	Â
Stock Options (Right to Buy)	(17)	09/10/2025	Common Stock	17,500	\$ 70.92	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	

Reporting Owners 2

Other

Veiel Eric L 100 E. PRATT STREET Â Â Â Vice President Â BALTIMORE, MDÂ 21202

### **Signatures**

/s/ Eric L. Veiel 01/11/2016

\*\*Signature of Person

Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 11/01/2006 Grant The option vests 20% annually over a 5 year period beginning on 11/01/2007.
- (2) 09/06/2007 Grant The option vests 20% annually over a 5 year period beginning on 09/06/2008.
- (3) 09/04/2008 Grant The option vests 20% annually over a 5 year period beginning on 09/04/2009.
- (4) 2/12/2009 Grant The option vests 20% annually over a 5 year period beginning on 11/01/2010.
- (5) 09/10/2009 Grant The option vests 20% annually over a 5 year period beginning on 11/01/2010.
- (6) 02/18/2010 Grant The option vests 20% annually over a 5 year period beginning on 11/01/2011.
- (7) 09/08/2010 Grant The option vests 20% annually over a 5 year period beginning on 11/01/2011.
- (8) 02/17/2011 Grant The option vests 20% annually over a 5 year period beginning on 11/01/2012.
- (9) 09/08/2011 Grant The option vests 20% annually over a 5 year period beginning on 11/01/2012.
- (10) 02/23/2012 Grant The option vests 20% annually over a 5 year period beginning on 12/10/2013.
- (11) 09/06/2012 Grant The option vests 20% annually over a 5 year period beginning on 12/10/2013.
- (12) 02/21/2013 Grant The option vests 20% annually over a 5 year period beginning on 12/10/2014.
- (13) 09/10/2013 Grant The option vests 20% annually over a 5 year period beginning on 12/10/2014.

02/19/2014 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2015.

- (15) 09/09/2014 Grant The option vests 20% annually over a 5 year period beginning on 12/10/2015.
- (16) 02/19/2015 Grant The option vests 20% annually over a 5 year period beginning on 12/09/2016.
- (17) 09/10/2015 Grant The option vests 20% annually over a 5 year period beginning on 12/09/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3